

ATTORNEY GENERAL OF THE STATE OF NEW YORK
ENVIRONMENTAL PROTECTION BUREAU

In the Matter of

Assurance No. 21-059

**Investigation by LETITIA JAMES,
Attorney General of the State of New York, of**

A&E REAL ESTATE HOLDINGS, LLC
Respondent.

ASSURANCE OF DISCONTINUANCE

The Office of the Attorney General of the State of New York (“OAG”) commenced an investigation pursuant to Executive Law § 63(12) into Respondent A&E Real Estate Holdings, LLC’s (“A&E”) compliance with the provisions of the New York City Childhood Lead Poisoning Prevention Act requiring apartment building owners and their agents to identify apartments where children under six live and conduct annual investigations of those apartments for lead-based paint hazards and to take measures to address those hazards when tenants in an apartment turn over. This Assurance of Discontinuance (“Assurance”) contains the findings of the OAG’s investigation and the relief agreed to by the OAG and A&E (collectively, the “Parties”).

I. STATUTORY BACKGROUND

1. The New York City Childhood Lead Poisoning Prevention Act (“Lead Poisoning Prevention Act” or “Act”) requires owners of buildings with three or more

apartments that were built before 1960 (and in some instances built after 1960 and before 1978) and their agents to take several critical measures to prevent children under six from being exposed to lead-based paint. New York City Housing Maintenance Code art. 14 (Administrative Code of City of NY, tit. 27, ch. 2) (“NYC Admin. Code”) §§ 27-2056.1–27-2056.18.

2. In 2004, the New York City Council, finding that childhood lead poisoning from paint was a preventable public health crisis, enacted the New York City Childhood Lead Poisoning Prevention Act of 2003. NYC Admin. Code § 27-2056.1 *et seq.* The Act focuses on “primary prevention, which means eliminating lead hazards before children are exposed” because that is an “essential tool to combat childhood lead poisoning.” *Id.* § 27-2056.1.

3. The Act establishes a rebuttable presumption that the paint in apartments built prior to January 1, 1960 where a child under six resides is “lead-based paint.” *Id.* § 27-2056.5; 24 R.C.N.Y. § 173.14(b) (a “child of applicable age” is a child under six years of age). This presumption may be rebutted, or a building may be exempted from some requirements of the Act, if the owner submits evidence to the New York City Department of Housing, Preservation and Development (“HPD”) that there is no lead-based paint in the building. NYC Admin. Code §§ 27-2056.5(a), (b).

4. “Resides” means that a child routinely spends 10 or more hours per week in an apartment. *Id.* § 27-2056.2(12). *See also* 28 R.C.N.Y. § 11-01(bb).

5. “Lead-based paint” means paint or other surface coatings containing at least 1.0 milligrams of lead per centimeter squared or 0.5 percent by weight, but upon

the federal Department of Housing and Urban Development’s promulgation of at least one performance characteristic sheet or other sufficient technical guidance approving an x-ray fluorescence analyzer tested at the level of 0.5 milligrams of lead per square centimeter, shall mean paint or other similar surface coating material containing 0.5 milligrams of lead per centimeter or greater, as determined by laboratory analysis or by an x-ray fluorescence analyzer. NYC Admin. Code § 27-2056.2(7).

6. The Act requires owners of apartment buildings where children under six live “to prevent the reasonably foreseeable occurrence” of lead-based paint hazards and expeditiously remediate those hazards. *Id.* § 27-2056.3.

7. The Act provides that “remediate” or “remediation” means “the reduction or elimination of a lead-based paint hazard through the wet scraping and repainting, removal, encapsulation, enclosure, or replacement of lead-based paint, or other method approved by the [Commissioner of the New York City Department of Health and Mental Hygiene].” *Id.* § 27-2056.2(11).

8. The Act provides that “owner” includes an “agent, or any other person, firm or corporation, directly or indirectly in control of a dwelling.” *Id.* § 27-2004(45).

9. “Lead-based paint hazards” are “any condition in a dwelling or dwelling unit that causes exposure to lead from lead-contaminated dust, from lead-based paint that is peeling,* or from lead-based paint that is present on chewable

* “Peeling” means that “the paint or other surface-coating material is curling, cracking, scaling, flaking, blistering, chipping, chalking or loose in any manner, such that a space or pocket of air is

surfaces,[†] deteriorated subsurfaces,[‡] friction surfaces,[§] or impact surfaces^{**} that would result in adverse human health effects.” *Id.* § 27-2056.2(6).

Annual Investigations

10. The annual investigation requirements of the Lead Poisoning Prevention Act apply to apartment buildings with at least three apartments that were either (1) built before January 1, 1960, unless the presumption of lead-based paint has been rebutted or the building or apartment has been exempted by HPD, or (2) built between January 1, 1960 and January 1, 1978 if the owner has actual knowledge of the presence of lead paint (together, “regulated buildings”). *Id.* § 27-2056.4(a).

behind a portion thereof or such that the paint is not completely adhered to the underlying surface.” *Id.* § 27-2056.2(10).

[†] A “chewable surface” is “a protruding interior window sill in a dwelling unit in a multiple dwelling where a child of [under the age of six] resides and which is readily accessible to such child” and “any other type of interior edge or protrusion in a dwelling unit in a multiple dwelling, such as a rail or stair, where there is evidence that such other edge or protrusion has been chewed or where an occupant has notified the owner that a child [under the age of six] who resides in that multiple dwelling has mouthed or chewed such edge or protrusion.” *Id.* § 27-2056.2(1).

[‡] A “deteriorated subsurface” is an “unstable or unsound painted subsurface, an indication of which can be observed through a visual inspection, including, but not limited to, rotted or decayed wood, or wood or plaster that has been subject to moisture or disturbance.” *Id.* § 27-2056.2(3).

[§] A “friction surface” is “any painted surface that touches or is in contact with another surface, such that the two surfaces are capable of relative motion and abrade, scrape, or bind when in relative motion. Friction surfaces shall include, but not be limited to, window frames and jambs, doors, and hinges.” *Id.* § 27-2056.2(4).

^{**} An “impact surface” is “any interior painted surface that shows evidence, such as marking, denting, or chipping, that it is subject to damage by repeated sudden force, such as certain parts of door frames, moldings, or baseboards.” *Id.* § 27-2056.2(5).

11. The Act directs owners to conduct investigations at least annually for “peeling paint, chewable surfaces, deteriorated subsurfaces, friction surfaces, and impact surfaces” in apartments in regulated buildings where a child under six resides and to expeditiously remediate all lead-based paint hazards and underlying defects.^{††} *Id.* §§ 27-2056.3, 27-2056.4(a).

12. The Act was recently amended to include a provision directing that one investigation for the presence of lead-based paint in every apartment be completed by an EPA-certified inspector or assessor using an “x-ray fluorescence analyzer.” *Id.* § 27-2056.4(a-1). Owners are required to come into compliance within one year after a child under the age of six comes to reside in an apartment or within five years of the effective date of the law, whichever is sooner.

13. Owners are required to provide the results of an annual investigation to the tenant in writing, provide a copy of any report “received or generated by an investigation” to the tenant, and keep a copy of any such report for at least ten years from the date of such report. *Id.* § 27-2056.4(f). *See also* 28 R.C.N.Y. §§ 11-04(b), (c)(1) (the record of the investigation shall “include the location of such inspection and the results of such inspection for each surface”).

14. Owners are required to ascertain whether a child under six resides in an apartment by two means. First, owners are required to provide a notice to tenants at the signing of a lease, including a renewal lease, inquiring as to whether

^{††} “Underlying defect” shall mean “a physical condition in a dwelling or dwelling unit that is causing or has caused paint to peel or a painted surface to deteriorate or fail, such as a structural or plumbing failure that allows water to intrude into a dwelling or dwelling unit.” *Id.* § 27-2056.2(15).

a child under the age of six resides or will reside in the apartment (“Lease Notice”). NYC Admin. Code § 27-2056.4(d)(1). *See also* 28 R.C.N.Y. § 11-03(a)(1).

15. Additionally, each year between January 1 and January 16, owners of apartment buildings constructed prior to January 1, 1960 must deliver a notice (“Annual Notice”) to all occupants inquiring as to whether a child under the age of six resides in the apartment. NYC Admin. Code § 27-2056.4(e)(1). *See generally* 28 R.C.N.Y. § 11-03(b).

16. The Annual Notice must be delivered to tenants by first class mail; by hand; enclosed with the January rent bill (if the bill is sent between December 15 and January 16); or in conjunction with an Annual Notice pertaining to window guards. NYC Admin. Code § 27-2056.4(e)(2).

17. If a tenant does not respond to the Annual Notice by February 15 and the owner does not “otherwise have actual knowledge” as to whether a child under the age of six lives there, the owner must “at reasonable times and upon reasonable notice” inspect the tenant’s apartment to determine whether a child under six lives there and “when necessary, conduct an investigation in order to make that determination.” *Id.* § 27-2056.4(e)(3)(i).

Turnover

18. Upon turnover of the tenants in any apartment in an apartment building constructed prior to January 1, 1960, owners must:

- (1) remediate all lead-based paint hazards and any underlying defects, when such underlying defects exist;

(2) make all bare floors, window sills, and window wells in the dwelling unit smooth and cleanable;

(3) provide for the removal or permanent covering of all lead-based paint on all friction surfaces on all doors and door frames; and

(4) provide for the removal or permanent covering of all lead-based paint on all friction surfaces on all windows, or provide for the installation of replacement window channels or slides on all lead-based painted friction surfaces on all windows.

Id. § 27-2056.8(a).

19. After an owner has completed work upon turnover, a lead-contaminated dust clearance test must be performed by a third party (neither the owner nor the individual or company that performed the turnover work). *Id.* §§ 27-2056.11(a)(3), 2056.11(b), 28 R.C.N.Y. §§ 11-06(b)(2)(iii), (3)(ii), (4), and (g)(3).

20. Owners must certify compliance with the turnover provisions in a notice provided to a new tenant upon signing a lease. 28 R.C.N.Y. § 11-05(d).

Executive Law § 63(12)

21. New York Executive Law § 63(12) authorizes the Attorney General to enjoin and seek other relief for “repeated fraudulent or illegal acts” in the transaction of business. “Illegal” acts under Executive Law § 63(12) include violations of local laws like the Lead Paint Poisoning Prevention Act.

II. OAG’s FINDINGS

Lead in Paint

22. Lead is a highly toxic metal that can cause serious and irreversible adverse health effects. Children who have been exposed to even very low levels of

lead are at risk for neurological and physical problems during critical stages of early development.

23. Lead paint in residential housing is a pervasive problem. For much of the 20th century, paint with dangerously high levels of lead was used on both exterior and interior surfaces of housing in the United States. In 1960, New York City prohibited the sale of paint with high levels of lead for residential use. In 1970, New York State imposed a state-wide ban and in 1978 the federal Consumer Product Safety Commission imposed a federal ban. The vast majority of older painted structures contain some paint with lead levels higher than these bans.

A&E Real Estate Holdings, LLC

24. A&E Real Estate Holdings, LLC and its affiliates (collectively, “A&E”) was launched in 2011 and began with the acquisition of a single building in the Fort Greene section of Brooklyn. It has expanded over the intervening decade, scaling substantially after 2015, and now owns and manages more than 10,000 apartments in buildings throughout New York City.

25. A&E has worked directly with the OAG in an effort to improve its compliance with the New York City Childhood Lead Poisoning Prevention Act and as a result of willingly working with the OAG, in addition to its own internal efforts, A&E’s compliance has improved greatly.

26. A&E does not contest, for purposes of this Assurance only, that it is an “owner” of the buildings under the Act. *See* NYC Admin. Code § 27-2004(45).

Lease Notices

27. A&E's new and renewal leases include Lease Notices attached as riders to the leases.

28. Not all of A&E's tenants completed the Lease Notice and A&E was unable to provide the OAG with documentation confirming that A&E took steps to ensure that the Lease Notices were completed.

29. When a tenant indicates on a Lease Notice in a new lease that a child under the age of six will reside in the apartment, A&E has been unable to provide OAG with complete records from 2015 to present verifying that it records that information.

Annual Notices

30. From 2015 to 2019, A&E routinely distributed Annual Notices to its tenants either via A&E's staff or a third-party vendor with whom A&E had entered into a contract, as required by the Act.

31. From 2015 to 2019, tenants did not always respond to Annual Notices.

32. From 2015 to 2017, when tenants did not respond to Annual Notices, A&E did not inspect each apartment or conduct an investigation to ascertain if a child under the age of six lived in each apartment, as required by the Act, or was unable to provide the OAG with documentation confirming that A&E had done so.

33. In 2018 and 2019, when tenants did not respond to Annual Notices A&E contends that superintendents were directed to go door-to-door conducting

these inspections, but A&E was unable to provide the OAG with documentation confirming that inspections were completed.

Annual Investigation

34. A&E did not conduct annual investigations of all apartments where a Lease Notice or an Annual Notice indicated that a child under the age of six lived there, as required by the Act, or was unable to provide the OAG with documentation confirming that it had done so.

35. Because A&E was unable to determine whether children under the age of six lived in the apartments that did not return Annual Notices or complete Lease Notices, A&E did not do annual investigations of those apartments.

36. When A&E conducted an annual investigation from 2015 to 2018, A&E inspected for peeling paint throughout the apartment, but did not inspect for “chewable surfaces,” deteriorated subsurfaces,” “friction surfaces,” and “impact surfaces,” as required by the Act, or was unable to provide the OAG with documentation confirming that it had done so. If there was peeling paint on any surface within the apartment, A&E generated a work order to remediate the peeling paint.

37. In 2019, A&E entered into a contract with a third-party vendor, Lead Clearance Inc., to conduct annual investigations in full compliance with the Act.

38. In 2019, A&E developed and submitted to the OAG for its review an annual investigation checklist to ensure that annual investigations included and documented the investigation of chewable surfaces, deteriorated subsurfaces,

friction surfaces, and impact surfaces, as well as peeling paint. Following that submission to the OAG in 2019, A&E has been using the annual investigation checklist in the ordinary course of its lead paint compliance.

39. If A&E created work orders to remediate peeling paint following annual investigations, A&E showed the work orders to the tenants and asked tenants to sign them once the work was complete. However, A&E did not consistently notify tenants of the results of annual investigations if a work order was not created, as required by the Act, or was unable to provide the OAG with documentation confirming that it did so.

Turnover

40. Hundreds of tenants turn over annually in apartments in A&E's regulated buildings.

41. A&E contends that upon turnover of apartments, it typically conducts a substantial rehabilitation of the apartments. While A&E maintained records of its turnover work, it has been unable to provide the OAG with records containing enough detail about the turnover work to satisfy the OAG that its turnovers from 2015 to the present complied with the Act's requirements.

42. A&E has not certified in Lease Notices that it has complied with the turnover requirements, as required by the rules promulgated under the Act. *See* 28 R.C.N.Y. § 11-05.

43. A&E neither admits nor denies the OAG's Findings, Paragraphs (22) – (42) above.

44. A&E has agreed to this Assurance in settlement of the violations asserted by the OAG and described above and to avoid the time, expense, and distraction of litigation.

45. The OAG finds the relief and agreements contained in this Assurance appropriate and in the public interest.

46. The findings listed above constitute the results of the investigation conducted by the OAG. The OAG acknowledges A&E's cooperation in this Investigation and the improvements A&E has made to its Local Law 1 compliance.

47. The OAG enters into this Assurance with A&E to resolve the deficiencies described above, and to develop a reporting process with A&E that will ensure continued compliance.

THEREFORE, the OAG is willing to accept this Assurance pursuant to Executive Law § 63(15), in lieu of commencing a statutory proceeding for violations of the New York City Childhood Lead Poisoning Prevention Act based on the conduct described above from July 19, 2015 to present.

IT IS HEREBY UNDERSTOOD AND AGREED, by and between the Parties:

III. INJUNCTIVE RELIEF

48. A&E shall comply with the Lead Poisoning Prevention Act with respect to regulated buildings, and with all applicable rules and regulations implementing the Act and expressly agrees and acknowledges that any future violations of the Act also violated this Assurance, and that the OAG thereafter may commence the civil action or proceeding contemplated in the THEREFORE

Paragraph, *supra*, in addition to any other appropriate investigation, action, or proceeding.

A. Leases

49. If a prospective or current tenant indicates in a Lease Notice that a child under the age of six resides or will reside in the apartment, A&E shall record this information in its electronic files within 30 days.

50. If a prospective or current tenant returns a lease or renewal lease to A&E without completing the Lease Notice, A&E or its third-party vendor shall make three attempts via telephone or e-mail within 30 days to reach the tenant to request that the tenant complete and return the Lease Notice and document those attempts.

B. Annual Notices

51. A&E shall comply with the Act's requirements regarding Annual Notices. NYC Admin. Code § 27-2056.4(e).

52. The terms of this Section B, Annual Notices, shall not apply to any units deemed exempt from the presumption that in a multiple dwelling erected prior to January 1, 1960, the paint or other similar surface-coating material in any dwelling unit where a child of applicable age resides or in the common areas is lead-based paint, pursuant to NYC Admin. Code § 27-2056.5(b) ("Exempt Units").

53. A&E shall post reminders to complete the Annual Notice in two public-viewable areas of each building, one of which shall be the lobby, from January 1 to March 1 each year.

54. To satisfy Section 27-2056.4(e)(3)(i)'s requirement that an "owner shall at reasonable times and upon reasonable notice inspect that occupant's dwelling unit to ascertain the residency of a child of applicable age and, when necessary, conduct an investigation in order to make that determination," A&E or its agents/third-party vendors shall contact the tenant via telephone or e-mail to request completion of the notice two times before March 1, when A&E has telephone or e-mail contact information for the tenant, and when A&E or its agents/third-party vendor does not have such information, use other reasonable means to satisfy this requirement. To satisfy Section 27-2056.4(e)(3)(i)'s requirement that "between February sixteenth and March first of that year, the owner has made reasonable attempts to gain access to a dwelling unit to determine if a child of applicable age resides in that dwelling unit," A&E or its agents/third-party vendor shall visit the tenant's apartment two times to request completion of the notice before March 1. A&E shall also document the attempts made to contact the tenant.

55. By March 1 each year, A&E shall record in its electronic files whether a child under six lives in each apartment managed by A&E and how it made that determination (e.g., Lease Notice, Annual Notice, actual knowledge, tenant's verbal or written response, or inspection apartment). If, as of March 1, A&E cannot determine if a child under six lives in an apartment, A&E shall notify the department of health and mental hygiene, pursuant to Section 27-2056.4(e)(3)(i). Such notifications shall satisfy A&E's obligations under this Section B.

C. Unit Rosters

56. By December 1, 2021, April 1, 2022, and April 1, 2023, A&E shall prepare a “Unit Roster” for any regulated building that A&E manages. The Unit Roster shall exclude any Exempt Units. The Unit Roster shall state whether a child under the age of six resides in each apartment in the building, subject to the following:

- a. A&E may state whether or not a child under the age of six resides in an apartment based on (1) a Lease Notice completed by the tenant within the past 12 months; (2) an Annual Notice completed by the tenant within the last 3 months; (3) the actual knowledge of any employee of A&E as stated in writing; (4) an inspection of the apartment by A&E employee within the last 3 months; or (5) the tenant’s verbal statement within the last 3 months as recorded in the company’s electronic files pursuant to Paragraph 55 above.
- b. A&E may state that it does not know whether a child under the age of six resides in an apartment only if all of the following requirements are met: (1) the tenant has not completed a Lease Notice within the last 12 months; (2) the tenant has not completed an Annual Notice within the last 3 months; (3) no employee of A&E has actual knowledge whether a child under six resides in the apartment; and (4) A&E was unable to obtain the tenant’s verbal or written statement or inspect the tenant’s apartment within the last 12 months.

D. Annual Investigations and Remediation

57. A&E shall comply with the Act's requirements regarding conducting annual investigations. *See* NYC Admin. Code § 27-2056.4(a). A&E shall also comply with the Act's requirement directing that one investigation for the presence of lead-based paint in every apartment using an "x-ray fluorescence analyzer" be completed by an EPA certified inspector or risk assessor. The investigation must take place within five years of the effective date of the law, or by August 9, 2025, or within one year if a child under the age of 6 comes to reside in the unit, whichever is sooner. *See id.* § 27-2056.4(a-1).

58. The terms of this Section D, Annual Investigation and Remediation, shall not apply to Exempt Units.

59. By October 15, 2021, June 1, 2022, and June 1, 2023, A&E shall perform annual investigations of all apartments on the current Unit Roster where a child under the age of six resides.

60. A&E's annual investigations shall include an annual investigation of the common areas of all buildings where a child under the age of six resides.

61. A&E shall ensure that every A&E employee who conducts annual investigations for A&E watches the training video, <https://apps.hud.gov/offices/lead/training/visualassessment/h00101.htm>, annually before conducting any annual investigations and document that each person has done so. If A&E retains an outside firm to conduct annual investigations, A&E

shall ensure that the retained outside firm uses EPA certified risk assessors and the firm is appropriately EPA certified.

62. For each annual investigation, A&E shall complete the “Annual Inspection for Lead-Based Paint Hazards” form attached as Exhibit A and provide a copy of that form to the tenant.

63. For each investigation using an x-ray fluorescence analyzer, A&E shall provide a copy of the report generated by the EPA certified contractor to the tenant.

64. For all apartments where A&E has identified a lead-based paint hazard during an inspection, A&E shall remediate the hazard within 90 days of the annual investigation provided that the tenant provides sufficient access to the unit to perform the necessary work within the 90-day period. If the tenant does not provide access to the unit, or if for some other commercially reasonable reason the work is not able to be completed within 90 days, A&E shall remediate the hazard expeditiously and document the reasons for their inability to perform the work within 90 days, and the steps that they take to perform the work as expeditiously as possible. All work performed pursuant to this Paragraph shall be performed by a firm that is certified to perform lead abatement and remediation pursuant to NYC Admin. Code § 27-2056.11 and the regulations issued by the United States Environmental Protection Agency, subparts E and L of part 745 of title 40 of the Code of Federal Regulations.

65. As required by the Act, A&E shall retain records of all remediation work for a period of ten years. *See* NYC Admin. Code § 27-2056.17.

E. Turnovers

66. A&E shall comply with the Act's turnover requirements, NYC Admin. Code 27-2056.8, including the requirements that turnover work be performed in accordance with Act's safe work practices. *See id.* § 27-2056.11.

67. The terms of this Section E, Turnovers, shall not apply to Exempt Units.

68. For each turnover, A&E shall complete the turnover form attached as Exhibit B.

69. Upon completion of turnover work, a lead-contaminated dust clearance test that complies with the applicable standard set forth in New York City, N.Y., Rules, Tit. 24, Health Code, § 173.14 (e)(1)(I)(iv), shall be performed by a third party (neither A&E nor the individual or company that performed the turnover work may perform the test).

70. A&E shall retain records of all turnover work as required by the Act for a period of ten years. *See NYC Admin. Code § 27-2056.17.*

71. As required by the rules promulgated under the Act, A&E shall certify that it complied with the Act's turnover requirements in a notice provided upon signing a lease with a turned over apartment's new tenant. *See 28 R.C.N.Y. § 11-05(d).*

F. Open Violations

72. For all open lead-based paint hazard violations issued by HPD contained in Exhibit C in the tab labeled "Hazards", A&E shall complete all

required abatements and provide all required documentation to HPD, as necessary, within 30 days of the Effective Date. If A&E cannot obtain access to a unit that requires an abatement, A&E will provide HPD with documentation that either (i) shows there is a legal case pending against the occupant to obtain access or (ii) shows that A&E has made appropriate and sufficient efforts to obtain access from the occupants. Such documentation will satisfy A&E's obligations under this Paragraph until A&E can obtain access to the unit. At such time when A&E can obtain access to the unit, A&E will provide HPD with documentation showing that the abatement has been completed. Thereafter, A&E will work with HPD to obtain physical verification as needed.

73. For all open lead-based violations issued by HPD contained in Exhibit C in the tab labeled "Recordkeeping", on February 1, 2022, February 1, 2023, and February 1, 2024, A&E shall submit the categories of documentation required under the Record Production Order (RPO, attached as Exhibit D) (the "RPO Documents"), as modified below to HPD. The February 1, 2022 submission shall include the RPO Documents generated between January 1, 2021 through December 31, 2021. The February 1, 2023 submission shall include the RPO Documents generated between January 1, 2022 and December 31, 2022. The February 1, 2024 submission shall include the RPO Documents generated between January 1, 2023 and December 31, 2023. Once all documents required under 28 RCNY section 11-12(d) through (i) for all three years have been received, the violations with order number 618, 619 and 620 listed on Exhibit C for that building will be dismissed. 614

violations will only be dismissed if evidence that turnover abatement work has been completed or that all door, door frame, or window friction surface contain no lead-based paint.

G. Recordkeeping and Reporting

74. A&E shall maintain the following records for ten years following the date of their creation and provide them to the State within 30 days upon request by e-mail or letter, or such other time as A&E and the State may agree:

- a. All Lease Notices, whether or not completed, in new and renewal leases and when a tenant did not complete a Lease Notice, all documents showing the attempts to reach the tenant to request that the tenant complete the Lease Notice as required by Paragraph 50;
- b. All Annual Notices and when a tenant did not complete an Annual Notice, all documents showing the attempts to contact the tenant and visit the tenant's apartment as required by Paragraph 54;
- c. All documents showing that A&E employees conducting annual investigations watched the training video, or that an outside firm retained by A&E to conduct annual investigations was appropriately EPA certified, as required by Paragraph 61;
- d. All "Annual Inspection for Lead-Based Paint Hazards" forms and "Annual Investigation Using an X-ray Fluorescence Analyzer" reports;
- e. Records of all remediation work as required by Paragraph 64 and the Act, NYC Admin. Code § 27-2056.17.

- f. Records relating to the inspections of dwelling units upon turnover, pursuant to Paragraph 70 and the Act, NYC Admin. Code § 27-2056.8;
- g. Except as to Exempt Units and units for which an x-ray fluorescence analyzer test reveals no lead paint is present, records of all turnover work as required by Paragraph 69 and the Act, NYC Admin. Code § 27-2056.17, including but not limited to:
 - 1. An Affidavit from the EPA-certified abatement firm or Renovation firm's authorized agent or individual who performed the work stating that the work was performed in accordance with NYC Admin. Code § 27-2056.11(a)(3) and 28 R.C.N.Y. § 11-06; the start and completion date of the work; the address and contact information (phone or fax) for the EPA firm that completed the work;
 - 2. A copy of the EPA certification for the abatement firm where applicable, or Renovation firm that performed the work;
 - 3. A copy of EPA certifications of the EPA-certified Abatement Workers and Supervisors, where applicable, or Renovators who performed the work;
 - 4. The location of the work performed in each room, including a description of such work and components of parts of the dwelling unit that were replaced OR invoices for payment for such work;

5. A copy of the State-certified laboratory analysis of all surface dust samples taken which indicates the method of preparation and analysis of the samples;
6. An Affidavit from the individual who took the surface dust samples, verifying the date the sample was taken and indicating the address/dwelling unit where the sample was taken;
7. A copy of the Certificate of Training of the individual who took surface dust samples. The Certificate of Training must be valid for the period when the dust samples were taken.

75. On December 1, 2021, September 1, 2022, and September 1, 2023, A&E shall submit a report to the State that provides the following information and documents for each regulated building (excluding any Exempt Units):

- a. The current Unit Roster;
- b. The number of tenants who did not complete the Lease Notice in the current lease;
- c. The number of tenants who did not complete the Annual Notice for that calendar year;
- d. For each apartment for which an annual investigation was done in that calendar year:
 - i. The address, including apartment number (or letter);

- ii. Whether an “Annual Investigation for Lead-Based Paint Hazards” form was completed;
 - iii. Whether the tenant received that form;
 - iv. Whether the apartment was remediated;
- e. An affidavit confirming that all A&E employees who conducted annual investigations in that calendar year watched the training video, or that an outside firm retained by A&E to conduct annual investigations was appropriately EPA certified, as required by Paragraph 61;
- f. The address, including apartment number (or letter), of all apartments that were tested using an x-ray fluorescence analyzer in the past 12 months;
- g. For each apartment that turned over in the past 12 months:
 - i. The address, including apartment number (or letter);
 - ii. Whether the turnover form was completed; and
 - iii. Whether the next tenant’s lease certified that A&E complied with the turnover requirements.

76. If an RPO and/or any record keeping violation is issued against A&E for any of the buildings covered by this agreement after the Effective Date, A&E will not be required to provide HPD with documentation that is dated prior to January 1, 2021. If A&E provides HPD with appropriate documentation from the time period after January 1, 2021, HPD will accept such documentation in satisfaction of the record keeping requirement. HPD shall remain available to A&E

as the need arises and shall make continuing efforts in good faith to assist with implementation of the provisions of this Paragraph 76.

77. At the request of the State by e-mail or letter, A&E shall provide any additional information or documents relating to Local Law 1 within 30 days, or such other time as A&E and the State may agree.

IV. LEAD PAINT PROJECTS

78. Within 30 days of the Effective Date, A&E shall pay \$510,000 to the OAG, which shall hold the payment in a separate account and use it to fund (1) a study of “point-of-care” lead testing efficacy in improving blood lead screening rates in the Bronx conducted by the Montefiore Medical Center Lead Poisoning Prevention and Treatment Program; (2) expansion of the Center for New York City Neighborhoods’ “Homefix” program to launch a campaign to educate, train, and counsel small homeowner landlords (one to four units) about the risks of lead paint, compliance with the Act, and resources to address lead hazards in homes; and/or (3) other projects or programs to prevent, abate, mitigate, or control the exposure of children to lead paint.

79. A&E shall make this payment to the OAG pursuant to wiring instructions provided by the State.

80. The payment described in paragraph 78 shall be in full satisfaction of the State’s claims under Executive Law § 63(12) as they relate to the New York City Childhood Lead Poisoning Prevention Act prior to December 31, 2020.

MISCELLANEOUS

Subsequent Proceedings.

81. If the Assurance is voided or breached, A&E agrees that any statute of limitations or other time-related defenses applicable to the subject of the Assurance and any claims arising from or relating thereto are tolled as to the breaching party from and after the date of this Assurance. In the event the Assurance is voided or breached, A&E expressly agrees and acknowledge that this Assurance shall in no way bar or otherwise preclude OAG from commencing, conducting or prosecuting any investigation, action or proceeding, however denominated, related to the Assurance, against A&E, or from using in any way any statements, documents or other materials produced or provided by A&E prior to or after the date of this Assurance. In the event that the OAG commences an action or proceeding related to this Assurance:

- a. any civil action or proceeding must be adjudicated by the state or federal courts in the State of New York, and A&E irrevocably and unconditionally waive any objection based upon personal jurisdiction, inconvenient forum, or venue;
- b. evidence of a violation of this Assurance shall constitute prima facie proof of a violation of the applicable law pursuant to Executive Law § 63(15). A&E, however, shall not be precluded from taking factual or legal positions contesting any action brought under this subsection,

except as otherwise admitted, agreed to, or waived elsewhere in this Assurance.

Effects of Assurance:

82. This Assurance is not intended for use by any third party in any other proceeding.

83. This Assurance is not intended, and should not be construed, as an admission of liability by A&E.

84. All terms and conditions of this Assurance shall continue in full force and effect on any successor, assignee, or transferee of A&E. A&E shall include any such successor, assignment or transfer agreement a provision that binds the successor, assignee or transferee to the terms of the Assurance. No party may assign, delegate, or otherwise transfer any of its rights or obligations under this Assurance without the prior written consent of the OAG.

85. Nothing contained herein shall be construed as to deprive any person of any private right under the law.

86. Any failure by the OAG to insist upon the strict performance by Respondents of any of the provisions of this Assurance shall not be deemed a waiver of any of the provisions hereof, and the OAG, notwithstanding that failure, shall have the right thereafter to insist upon the strict performance of any and all of the provisions of this Assurance to be performed by A&E.

Communications:

87. All notices, reports, requests, and other communications pursuant to this Assurance must reference Assurance No. 21-059 and shall be in writing and shall, unless expressly provided otherwise herein, be given by hand delivery; express courier; or electronic mail at an address designated in writing by the recipient, followed by postage prepaid mail, and shall be addressed as follows:

If to A&E, to: Alyssa Malin or in his/her absence, to the person holding the title of General Counsel; and to: James L. Bernard, Stroock & Stroock & Lavan LLP; Julie G. Matos, Stroock & Stroock & Lavan LLP, and John Siegal, Baker Hostetler LLP.

If to the OAG, to: Abigail Katowitz and/or Sara Mark or in their absences, to the person holding the title of Bureau Chief, Environmental Protection Bureau.

If to HPD, to: Martha Ann Weithman, Assistant Commissioner, or in her absence, to the person holding the title of Commissioner, Department of Housing Preservation and Development.

Representations and Warranties:

88. The OAG has agreed to the terms of this Assurance based on, among other things, the representations made to the OAG by A&E and its counsel and the OAG's own factual investigation as set forth in Findings, Paragraphs 22 – 42 above. A&E represents and warrants that neither it nor its counsel has made any material representations to the OAG that are inaccurate or misleading. If any material representations by A&E or its counsel are later found to be inaccurate or misleading, this Assurance is voidable by the OAG in its sole discretion.

89. No representation, inducement, promise, understanding, condition, or warranty not set forth in this Assurance has been made to or relied upon by the Respondent in agreeing to this Assurance.

90. A&E represents and warrants, through the signatures below, that the terms and conditions of this Assurance are duly approved. A&E further represents and warrants that A&E by James Patchett, as the signatory to this Assurance, is a duly authorized signatory of A&E acting at the direction of all of the members of A&E.

General Principles:

91. The provisions of Section III, Injunctive Relief shall terminate three years after the Effective Date of the Assurance. The termination of those provisions shall not affect the rights of the State and HPD to enforce any provision of Section III that the State or HPD claim was violated between the Effective Date and termination date. The relief described in this Assurance resolves all of the OAG's and HPD's claims, or potential claims, against A&E related to Local Law 1 prior to the Effective Date. Nothing in this Agreement shall relieve A&E of other obligations imposed by any applicable state or federal law or regulation or other applicable law.

92. Nothing contained herein shall be construed to limit the remedies available to the OAG in the event that A&E violates the Assurance after its Effective Date.

93. This Assurance may not be amended except by an instrument in writing signed on behalf of the Parties to this Assurance.

94. In the event that any one or more of the provisions contained in this Assurance shall for any reason be held by a court of competent jurisdiction to be invalid, illegal, or unenforceable in any respect, in the sole discretion of the OAG, such invalidity, illegality, or unenforceability shall not affect any other provision of this Assurance.

95. A&E acknowledges that it has entered this Assurance freely and voluntarily and upon due deliberation with the advice of counsel.

96. This Assurance shall be governed by the laws of the State of New York without regard to any conflict of laws principles.

97. The Assurance and all its terms shall be construed as if mutually drafted with no presumption of any type against any party that may be found to have been the drafter.

98. This Assurance may be executed in multiple counterparts by the Parties hereto. All counterparts so executed shall constitute one agreement binding upon all Parties, notwithstanding that all Parties are not signatories to the original or the same counterpart. Each counterpart shall be deemed an original to this Assurance, all of which shall constitute one agreement to be valid as of the Effective Date of this Assurance. For purposes of this Assurance, copies of signatures shall be treated the same as originals. Documents executed, scanned and transmitted electronically and electronic signatures shall be deemed original signatures for

purposes of this Assurance and all matters related thereto, with such scanned and electronic signatures having the same legal effect as original signatures.

99. The Effective Date of this Assurance shall be September 14, 2021.

LETITIA JAMES
Attorney General of the State of New York
28 Liberty Street
New York, New York 10005 .

By: *Abigail Katowitz*
Abigail Katowitz, Esq.
Assistant Attorney General
Environmental Protection Bureau

Sara Haviva Mark, Esq.
Special Counsel
Health Care Protection Bureau

LOUISE CARROLL
Commissioner of the New York City Department of
Housing Preservation and Development
100 Gold Street
New York, New York 10038

By: *Martha Ann Weithman*
Martha Ann Weithman, Esq.
Assistant Commissioner

A&E Real Estate Holdings, LLC

By: [Signature]
James Patchett
Chief Executive Officer

STATE OF New York)
COUNTY OF New York) ss.:

On the 14th day of September in the year 2021 before me personally came James Patchett to me known, who, being by me duly sworn, did depose and say that his corporate address is 5 Bryant Park, New York, New York 10018; that he is the Chief Executive Officer of the A&E, the corporation described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by authority of the board of directors of said corporation, and that he signed his name thereto by like authority.

Sworn to before me this
14th day of September, 2021

[Signature]
NOTARY PUBLIC



EXHIBIT A

Required for a multiple dwelling unit where a child under the age of six resides and the building was built prior to January 1, 1960 or built January 1, 1960 to January 1, 1978 where the owner has actual knowledge of the presence of lead-based paint. **As of January 1, 2020, the term "resides" means that a child under six routinely spends 10 or more hours per week in the dwelling unit. Attach to this form and retain for at least 10 years: Copy of individual unit visual inspection forms (SF LL1-04), available at hpd.nyc.gov.**

Building address _____

Owner/agent name _____

Borough _____

Property registration # _____

Owner/agent signature _____

Apt #	Notice to request inspection sent to occupant on this date:	Visual inspection completed		Reason for visual inspection		Visual inspection performed by		Name of individual who performed the visual inspection	Lead-based paint hazards found?		Were written results of the visual inspection delivered to the occupant?		Written results of the visual inspection were delivered to the occupant on this date:
		Yes	No*	Annual	Occupant complaint	Owner/agent	Third party		Yes	No	Yes	No	

EXHIBIT B

Required for a private (non-owner occupied) dwelling or multiple dwelling unit built prior to January 1, 1960. Guidance to owners is that this should also be performed for private and multiple dwellings built January 1, 1960 to January 1, 1978 where the owner has actual knowledge of the presence of lead-based paint. This summary form should list each apartment as it changes tenancy in a specific year. Attach to this form and retain for at least 10 years: Copy of individual unit turnover inspection forms (SF LL1-07), available at hpd.nyc.gov.

Building address _____

Owner/agent name _____

Borough _____

Property registration # _____

Owner/agent signature _____

Apt #	Is apt exempt from the required turnover inspection?			Date the previous tenant Ended occupancy	Date of the turnover inspection	Turnover inspection performed by		Name of individual who performed the turnover inspection	Lead-based Paint hazards found?	
	No	Yes, apt has HPD Lead Free paint exemption*	Yes, apt was tested for lead-based paint and no painted surfaces tested positive**			Owner/agent	Third party		Yes	No

* Exemption must specifically be a "Lead Free" exemption.

**Testing must have been performed by an EPA-certified Inspector or Risk Assessor. See the HPD website for more information.

EXHIBIT C

Open Lead-based paint hazard violations: A&E Properties

BUILDING ID	BORO	HOUSE NUMBER	STREET NAME	APT #	COMPLAINT NUMBER	VIOLATION SEQUENCE NUMBER	VIOLATION DESCRIPTION	ORDER BOOK NUMBER	VIOLATION ISSUE DATE
139653	3	280	14 STREET	2A	8339639	11617590	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM NORTH AT EAST WALL IN THE FOYER LOCATED AT APT 2A, 1st STORY, 2nd APARTMENT FROM SOUTH AT WEST	616	19-Jan-17
139653	3	280	14 STREET	2A	8339639	11617591	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM NORTH AT EAST WALL, EAST WALL IN THE FOYER LOCATED AT APT 2A, 1st STORY, 2nd APARTMENT FROM SOUTH AT WEST	617	19-Jan-17
326540	3	311	LINCOLN PLACE	4B	8943429	12274859	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM WEST AT NORTH WALL, WEST WALL IN THE FOYER LOCATED AT APT 4B, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH , SECTION "WEST"	616	01-Mar-18
326540	3	311	LINCOLN PLACE	4B	8943429	12274860	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM WEST AT NORTH WALL IN THE 2nd ROOM FROM NORTH LOCATED AT APT 4B, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH SECTION "WEST"	616	01-Mar-18
326540	3	311	LINCOLN PLACE	4B	8943429	12274861	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM EAST AT SOUTH WALL, 1st RISER FROM EAST AT SOUTH WALL IN THE 3rd ROOM FROM NORTH AT EAST LOCATED AT APT 4B, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH SECTION "WEST"	616	01-Mar-18
326540	3	311	LINCOLN PLACE	4B	8943429	12274862	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING IN THE PRIVATE HALLWAY LOCATED AT APT 4B, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH SECTION "WEST"	616	01-Mar-18
812200	4	150-41	75 ROAD	2B	9188012	12639349	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING IN THE 2nd ROOM FROM NORTH LOCATED AT APT 2B, 2nd STORY, 1st APARTMENT FROM SOUTH AT WEST	616	05-Oct-18
812200	4	150-41	75 ROAD	2B	9188012	12639350	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, NORTH WALL, WEST WALL IN THE 1st ROOM FROM NORTH LOCATED AT APT 2B, 2nd STORY, 1st APARTMENT FROM SOUTH AT WEST	616	05-Oct-18

322581	3	93	LAFAYETTE AVENUE	10C	9425268	12859829	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM EAST AT SOUTH WALL IN THE BATHROOM LOCATED AT APT 10C, 10th STORY, 2nd APARTMENT FROM EAST AT SOUTH	616	11-Jan-19
43708	1	631	WEST 207 STREET	51	9589018	13035959	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st CLOSET FROM EAST AT SOUTH WALL DOOR FRAME, 1st DOOR FRAME FROM NORTH AT EAST WALL, EAST WALL, 1st WINDOW FRAME FROM SOUTH AT WEST WALL IN THE 1st ROOM FROM NORTH AT WEST LOCATED AT APT 51, 5th STORY, 2nd APARTMENT FROM SOUTH AT WEST	617	24-Apr-19
43708	1	631	WEST 207 STREET	51	9589018	13035960	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL, 1st WINDOW FRAME FROM NORTH AT EAST WALL IN THE KITCHEN LOCATED AT APT 51, 5th STORY, 2nd APARTMENT FROM SOUTH AT WEST	617	24-Apr-19
43708	1	631	WEST 207 STREET	51	9589018	13035961	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st CLOSET FROM WEST AT NORTH WALL DOOR FRAME, 1st DOOR FRAME FROM NORTH AT EAST WALL, 1st RISER FROM SOUTH AT WEST WALL, SOUTH WALL, 1st WINDOW FRAME FROM SOUTH AT WEST WALL IN THE 2nd ROOM FROM NORTH AT WEST LOCATED AT APT 51, 5th STORY, 2nd APARTMENT FROM SOUTH AT WEST	617	24-Apr-19
39387	1	530	WEST 123 STREET	6	9597183	13047841	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) WEST WALL IN THE 1st ROOM FROM NORTH AT WEST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	616	24-Apr-19
39387	1	530	WEST 123 STREET	6	9597183	13047842	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, WEST WALL IN THE 2nd ROOM FROM NORTH AT WEST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	616	24-Apr-19
39387	1	530	WEST 123 STREET	6	9597183	13047843	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, WEST WALL IN THE 4th ROOM FROM NORTH AT WEST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	616	24-Apr-19
39387	1	530	WEST 123 STREET	6	9597183	13047845	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL IN THE 2nd ROOM FROM NORTH AT EAST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	616	24-Apr-19
39387	1	530	WEST 123 STREET	6	9597183	13047846	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, WEST WALL IN THE 4th ROOM FROM NORTH AT EAST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	616	24-Apr-19

39387	1 530	WEST 123 STREET	6	9643936	13121775	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) BASEBOARD AT NORTH WALL, 1st FIREPLACE FROM NORTH AT EAST WALL, SOUTH WALL IN THE 1st ROOM FROM NORTH AT EAST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	12-Jun-19
39387	1 530	WEST 123 STREET	6	9643936	13121776	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM NORTH AT EAST WALL, NORTH WALL, SOUTH WALL, 1st WINDOW FRAME FROM WEST AT NORTH WALL IN THE 1st ROOM FROM NORTH AT CENTER LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	12-Jun-19
39387	1 530	WEST 123 STREET	6	9643936	13121777	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st CLOSET FROM WEST AT NORTH WALL DOOR, 3rd CLOSET FROM WEST AT NORTH WALL DOOR, 3rd CLOSET FROM WEST AT NORTH WALL DOOR FRAME, 1st DOOR FROM NORTH AT EAST WALL, 1st DOOR FRAME FROM NORTH AT EAST WALL, NORTH WALL, EAST WALL, WEST WALL, 1st WINDOW FRAME FROM EAST AT SOUTH WALL IN THE 2nd ROOM FROM NORTH AT WEST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	12-Jun-19
39387	1 530	WEST 123 STREET	6	9643936	13121778	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) BASEBOARD AT NORTH WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL, SOUTH WALL, 1st WINDOW FRAME FROM EAST AT SOUTH WALL IN THE 2nd ROOM FROM NORTH AT EAST LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	12-Jun-19
39387	1 530	WEST 123 STREET	6	9643936	13121779	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM WEST AT NORTH WALL, EAST WALL IN THE KITCHEN LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	12-Jun-19
39387	1 530	WEST 123 STREET	6	9643936	13121780	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL IN THE BATHROOM LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	12-Jun-19
39387	1 530	WEST 123 STREET	6	9643936	13121781	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, NORTH WALL, SOUTH WALL, EAST WALL IN THE 4th ROOM FROM NORTH LOCATED AT APT 6, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	616	12-Jun-19
398056	3 80	WOODRUFF AVENUE	3A	9670968	13174803	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL, WEST WALL IN THE 1st ROOM FROM EAST AT NORTH LOCATED AT APT 3A, 3rd STORY, 3rd APARTMENT FROM WEST AT NORTH	616	10-Jul-19

421477	4	83-16	34 AVENUE	G22	9671032	13180218	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM NORTH AT EAST WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL IN THE KITCHEN LOCATED AT APT G22, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH	617	10-Jul-19
649541	4	41-40	DENMAN STREET	5V	9675810	13184298	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING IN THE 2nd ROOM FROM EAST AT NORTH LOCATED AT APT 5V, 5th STORY, 1st APARTMENT FROM EAST AT SOUTH	616	16-Jul-19
649541	4	41-40	DENMAN STREET	5V	9675810	13184299	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING IN THE 1st ROOM FROM EAST LOCATED AT APT 5V, 5th STORY, 1st APARTMENT FROM EAST AT SOUTH	616	16-Jul-19
649541	4	41-40	DENMAN STREET	5V	9675810	13184300	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL, SOUTH WALL, WEST WALL IN THE BATHROOM LOCATED AT APT 5V, 5th STORY, 1st APARTMENT FROM EAST AT SOUTH	616	16-Jul-19
649541	4	41-40	DENMAN STREET	5V	9703180	13236609	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, NORTH WALL, SOUTH WALL, WEST WALL IN THE BATHROOM LOCATED AT APT 5V, 5th STORY, 1st APARTMENT FROM EAST AT SOUTH	616	13-Aug-19
421477	4	83-16	34 AVENUE	H41	9708752	13238096	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM NORTH AT EAST WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL, 1st WINDOW FRAME FROM EAST AT SOUTH WALL IN THE 3rd ROOM FROM EAST AT SOUTH LOCATED AT APT H41, 4th STORY, 2nd APARTMENT FROM WEST AT NORTH	617	20-Aug-19
139653	3	280	14 STREET	2E	9738538	13307797	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL, NORTH WALL, WEST WALL, 1st WINDOW FRAME FROM SOUTH AT WEST WALL IN THE KITCHEN LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	29-Sep-19
139653	3	280	14 STREET	2E	9738538	13312984	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL, EAST WALL, 1st WINDOW FRAME FROM WEST AT NORTH WALL IN THE BATHROOM LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	29-Sep-19
139653	3	280	14 STREET	2E	9738538	13312985	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM SOUTH AT WEST WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL IN THE FOYER LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	29-Sep-19

139653	3	280	14 STREET	2E	9738538	13312986	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) BASEBOARD AT WEST WALL, 1st DOOR FRAME FROM EAST AT SOUTH WALL, SOUTH WALL, WEST WALL IN THE 2nd ROOM FROM EAST AT NORTH LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	29-Sep-19
139653	3	280	14 STREET	2E	9738538	13312987	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM SOUTH AT WEST WALL, 1st WINDOW FRAME FROM NORTH AT EAST WALL IN THE 1st ROOM FROM EAST AT SOUTH LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	29-Sep-19
139653	3	280	14 STREET	2E	9738538	13312988	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL, EAST WALL, 1st WINDOW FRAME FROM NORTH AT EAST WALL, 2nd WINDOW FRAME FROM NORTH AT EAST WALL IN THE 1st ROOM FROM EAST AT CENTER LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	29-Sep-19
81991	2	910	GRAND CONCOURSE	4A	9774572	13351376	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM NORTH AT EAST WALL IN THE FOYER LOCATED AT APT 4A, 4th STORY, 1st APARTMENT FROM SOUTH AT WEST	617	20-Oct-19
437254	4	32-02	47 STREET	6B	9762046	13372438	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st WINDOW FRAME FROM WEST AT NORTH WALL, 2nd WINDOW FRAME FROM WEST AT NORTH WALL IN THE 3rd ROOM FROM EAST AT NORTH LOCATED AT APT 6B, 2nd STORY, 2nd APARTMENT FROM WEST AT NORTH	617	24-Oct-19
437254	4	32-02	47 STREET	6B	9762046	13372439	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM WEST AT NORTH WALL IN THE BATHROOM LOCATED AT APT 6B, 6th STORY, 2nd APARTMENT FROM WEST AT NORTH	617	24-Oct-19
437254	4	32-02	47 STREET	6B	9762046	13372440	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st CLOSET FROM NORTH AT EAST WALL DOOR FRAME, 1st DOOR FRAME FROM EAST AT SOUTH WALL IN THE FOYER LOCATED AT APT 6B, 2nd STORY, 2nd APARTMENT FROM WEST AT NORTH	617	24-Oct-19
421477	4	83-16	34 AVENUE	G22	9907252	13474473	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM NORTH AT EAST WALL IN THE 1st ROOM FROM NORTH AT EAST LOCATED AT APT G22, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH SECTION "G"	616	25-Nov-19

421477	4	83-16	34 AVENUE	G22	9907252	13474474	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM WEST AT NORTH WALL IN THE 1st ROOM FROM NORTH AT WEST LOCATED AT APT G22, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH. SECTION "G"	616	25-Nov-19
139653	3	280	14 STREET	2E	9917516	13486831	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL IN THE BATHROOM LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	06-Dec-19
139653	3	280	14 STREET	2E	9917516	13496908	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM SOUTH AT WEST WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL IN THE FOYER LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	06-Dec-19
347767	3	65	OCEAN AVENUE	6A	9955015	13525395	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM SOUTH AT WEST WALL IN THE BATHROOM LOCATED AT APT 6A, 6th STORY, 3rd APARTMENT FROM EAST AT SOUTH	617	27-Dec-19
972488	4	147-53	72 DRIVE	3E	9973996	13544323	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM EAST AT SOUTH WALL IN THE KITCHEN LOCATED AT APT 3E, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	06-Jan-20
972488	4	147-53	72 DRIVE	3E	9973996	13544324	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM EAST AT SOUTH WALL IN THE FOYER LOCATED AT APT 3E, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	617	06-Jan-20
972488	4	147-53	72 DRIVE	2F	9974001	13544325	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM EAST AT SOUTH WALL IN THE FOYER LOCATED AT APT 2F, 2nd STORY, 2nd APARTMENT FROM WEST AT NORTH	617	06-Jan-20
7205	1	1	BOGARDUS PLACE	6R	10039166	13607220	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM WEST AT NORTH WALL IN THE 1st ROOM FROM EAST LOCATED AT APT 6R, 5th STORY, 5th APARTMENT FROM EAST AT SOUTH	617	16-Feb-20
672189	4	37-33	LAWRENCE STREET	C4F	10060104	13628751	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM EAST AT SOUTH WALL, 1st DOOR FRAME FROM EAST AT SOUTH WALL IN THE FOYER LOCATED AT APT C4F, 14th STORY, 1st APARTMENT FROM WEST AT NORTH	617	02-Mar-20

510648	4	65-09	99 STREET	3V	10198918	13821180	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM NORTH AT EAST WALL IN THE FOYER LOCATED AT APT 3V, 3rd STORY, 1st APARTMENT FROM SOUTH AT WEST	617	19-Sep-20
679744	4	119-21	METROPOLITAN AVENUE	2G	10202426	13868229	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) WEST WALL IN THE 1st ROOM FROM EAST AT SOUTH LOCATED AT APT 2G, 2nd STORY, 2nd APARTMENT FROM NORTH AT EAST	616	02-Oct-20
679744	4	119-21	METROPOLITAN AVENUE	2G	10202426	13868230	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 2nd CLOSET FROM WEST AT NORTH WALL WALL IN THE PRIVATE HALLWAY LOCATED AT APT 2G, 2nd STORY, 2nd APARTMENT FROM NORTH AT EAST	616	02-Oct-20
679744	4	119-21	METROPOLITAN AVENUE	2G	10202426	13868231	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING IN THE 3rd ROOM FROM EAST AT SOUTH LOCATED AT APT 2G, 2nd STORY, 2nd APARTMENT FROM NORTH AT EAST	616	02-Oct-20
322581	3	93	LAFAYETTE AVENUE	10C	10273026	13909678	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 2nd CLOSET FROM EAST AT SOUTH WALL DOOR IN THE 2nd ROOM FROM NORTH AT EAST LOCATED AT APT 10C, 10th STORY, 2nd APARTMENT FROM EAST AT SOUTH	617	20-Nov-20
322581	3	93	LAFAYETTE AVENUE	10C	10273026	13909683	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 2nd CLOSET FROM EAST AT SOUTH WALL DOOR IN THE 2nd ROOM FROM NORTH AT EAST LOCATED AT APT 10C, 10th STORY, 2nd APARTMENT FROM EAST AT SOUTH	617	20-Nov-20
322581	3	93	LAFAYETTE AVENUE	10C	10273026	13911349	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 2nd CLOSET FROM EAST AT SOUTH WALL DOOR IN THE 2nd ROOM FROM NORTH AT EAST LOCATED AT APT 10C, 10th STORY, 2nd APARTMENT FROM EAST AT SOUTH	617	20-Nov-20
510648	4	65-09	99 STREET	4R	10284833	13920989	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM EAST AT SOUTH WALL IN THE 4th ROOM FROM NORTH LOCATED AT APT 4R, 4th STORY, 1st APARTMENT FROM EAST AT SOUTH, SECTION "SOUTH"	617	01-Dec-20
510648	4	65-09	99 STREET	4R	10284833	13920990	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM EAST AT SOUTH WALL IN THE 3rd ROOM FROM NORTH AT EAST LOCATED AT APT 4R, 4th STORY, 1st APARTMENT FROM EAST AT SOUTH, SECTION "SOUTH"	617	01-Dec-20

510648	4	65-09	99 STREET	4R	10284833	13920993	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM EAST AT SOUTH WALL IN THE 4th ROOM FROM NORTH LOCATED AT APT 4R, 4th STORY. 1st APARTMENT FROM EAST AT SOUTH. SECTION "SOUTH"	617	01-Dec-20
510648	4	65-09	99 STREET	4R	10284833	13920994	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RADIATOR FROM EAST AT SOUTH WALL IN THE 3rd ROOM FROM NORTH AT EAST LOCATED AT APT 4R, 4th STORY, 1st APARTMENT FROM EAST AT SOUTH, SECTION "SOUTH"	617	01-Dec-20
39383	1	522	WEST 123 STREET	5W	10293150	13935403	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) WEST WALL IN THE 5th ROOM FROM NORTH LOCATED AT APT 5W, 5th STORY, 1st APARTMENT FROM SOUTH AT WEST	617	10-Dec-20
39383	1	522	WEST 123 STREET	5W	10293150	13935404	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) WEST WALL IN THE 4th ROOM FROM NORTH LOCATED AT APT 5W, 5th STORY, 1st APARTMENT FROM SOUTH AT WEST	617	10-Dec-20
323376	3	100	LEFFERTS AVENUE	6C	10307969	13937940	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM EAST AT SOUTH WALL IN THE FOYER LOCATED AT APT 6C, 6th STORY, 2nd APARTMENT FROM WEST AT NORTH	617	12-Dec-20
39383	1	522	WEST 123 STREET	5W	10293150	13938582	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL IN THE PRIVATE HALLWAY LOCATED AT APT 5W, 5th STORY, 1st APARTMENT FROM SOUTH AT WEST	617	10-Dec-20
422520	4	94-02	35 AVENUE	3C	10455931	14051285	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM NORTH AT EAST WALL IN THE FOYER LOCATED AT APT 3C, 3rd STORY, 2nd APARTMENT FROM SOUTH AT WEST	617	01-Mar-21
398056	3	80	WOODRUFF AVENUE	3A	10470806	14068789	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL IN THE 1st ROOM FROM NORTH AT EAST LOCATED AT APT 3A. 3rd STORY. 3rd APARTMENT FROM WEST AT NORTH	616	05-Mar-21
499726	4	34-19	90 STREET	C51	10486616	14083116	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM SOUTH AT WEST WALL IN THE BATHROOM LOCATED AT APT C51, 5th STORY. 1st APARTMENT FROM WEST AT NORTH	617	20-Mar-21

499726	4	34-19	90 STREET	C51	10486616	14083117	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM WEST AT NORTH WALL IN THE KITCHEN LOCATED AT APT C51, 5th STORY, 1st APARTMENT FROM WEST AT NORTH	617	20-Mar-21
499726	4	34-19	90 STREET	C51	10486616	14083118	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL IN THE 1st ROOM FROM EAST AT NORTH LOCATED AT APT C51, 5th STORY, 1st APARTMENT FROM WEST AT NORTH	617	20-Mar-21
139653	3	280	14 STREET	2E	10691809	14312909	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL IN THE 1st ROOM FROM EAST AT CENTER LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	27-Apr-21
139653	3	280	14 STREET	2E	10691809	14312910	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL IN THE KITCHEN LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	27-Apr-21
139653	3	280	14 STREET	2E	10691809	14312911	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) SOUTH WALL IN THE BATHROOM LOCATED AT APT 2E, 5th STORY, 1st APARTMENT FROM NORTH AT EAST	617	27-Apr-21
469859	4	150-16	73 AVENUE	2E	10720972	14354569	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM WEST AT NORTH WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL, EAST WALL IN THE 1st ROOM FROM EAST AT SOUTH LOCATED AT APT 2E, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH	616	20-May-21
469859	4	150-16	73 AVENUE	2E	10720972	14354570	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING, 1st RADIATOR FROM EAST AT SOUTH WALL, SOUTH WALL, 1st WINDOW FRAME FROM EAST AT SOUTH WALL IN THE 2nd ROOM FROM EAST AT SOUTH LOCATED AT APT 2E, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH	616	20-May-21
469859	4	150-16	73 AVENUE	2E	10720972	14354571	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st CLOSET FROM SOUTH AT WEST WALL DOOR IN THE FOYER LOCATED AT APT 2E, 2nd STORY, 1st APARTMENT FROM EAST AT SOUTH	616	20-May-21
408306	4	41-01	23 AVENUE	5	10725214	14367021	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL IN THE KITCHEN LOCATED AT APT 5, 2nd STORY, 2nd APARTMENT FROM EAST AT SOUTH	617	01-Jun-21

408306	4	41-01	23 AVENUE	5	10725214	14367023	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL, WEST WALL IN THE FOYER LOCATED AT APT 5, 2nd STORY, 2nd APARTMENT FROM EAST AT SOUTH	617	01-Jun-21
408306	4	41-01	23 AVENUE	5	10725214	14367024	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL IN THE 4th ROOM FROM EAST LOCATED AT APT 5, 2nd STORY, 2nd APARTMENT FROM EAST AT SOUTH	617	01-Jun-21
496758	4	37-37	88 STREET	F10	10736557	14376281	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM NORTH AT EAST WALL, 1st DOOR FRAME FROM NORTH AT EAST WALL IN THE FOYER LOCATED AT APT F10, 6th STORY, 4th APARTMENT FROM SOUTH AT WEST	617	07-Jun-21
496758	4	37-37	88 STREET	E8	10740081	14386651	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 2nd WINDOW FRAME FROM SOUTH AT WEST WALL IN THE 3rd ROOM FROM EAST AT SOUTH LOCATED AT APT E8, 6th STORY, 6th APARTMENT FROM SOUTH AT WEST	617	10-Jun-21
496758	4	37-37	88 STREET	E8	10740081	14386652	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM NORTH AT EAST WALL IN THE FOYER LOCATED AT APT E8, 6th STORY, 6th APARTMENT FROM SOUTH AT WEST	617	10-Jun-21
424632	4	86-01	37 AVENUE	1F	10756839	14484556	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL, EAST WALL, 2nd WINDOW FRAME FROM NORTH AT EAST WALL IN THE 4th ROOM FROM NORTH LOCATED AT APT 1F, 1st STORY, 1st APARTMENT FROM NORTH AT EAST	616	22-Jul-21
424632	4	86-01	37 AVENUE	1F	10756839	14484557	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st WINDOW FRAME FROM NORTH AT EAST WALL IN THE 1st ROOM FROM NORTH LOCATED AT APT 1F, 1st STORY, 1st APARTMENT FROM NORTH AT EAST	616	22-Jul-21
646534	4	102-43	CORONA AVENUE	L1E	10788523	14485824	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM WEST AT NORTH WALL, 1st WINDOW FRAME FROM EAST AT SOUTH WALL IN THE KITCHEN LOCATED AT APT L1E, 1st STORY, 2nd APARTMENT FROM WEST AT NORTH	617	06-Aug-21
646534	4	102-43	CORONA AVENUE	L1E	10788523	14485825	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL IN THE 3rd ROOM FROM EAST LOCATED AT APT L1E, 1st STORY, 2nd APARTMENT FROM WEST AT NORTH	617	06-Aug-21

646534	4	102-43	CORONA AVENUE	L1E	10788523	14485826	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st CLOSET FROM EAST AT SOUTH WALL DOOR FRAME, 2nd CLOSET FROM EAST AT SOUTH WALL DOOR FRAME, 1st DOOR FRAME FROM NORTH AT EAST WALL, 1st DOOR FRAME FROM SOUTH AT WEST WALL IN THE 4th ROOM FROM EAST LOCATED AT APT L1E, 1st STORY, 2nd APARTMENT FROM WEST AT NORTH	617	06-Aug-21
646534	4	102-43	CORONA AVENUE	L1E	10788523	14485827	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FRAME FROM EAST AT SOUTH WALL, 1st WINDOW FRAME FROM WEST AT NORTH WALL IN THE 1st ROOM FROM EAST AT NORTH LOCATED AT APT L1E, 1st STORY, 2nd APARTMENT FROM WEST AT NORTH	617	06-Aug-21
499726	4	34-19	90 STREET	B23	10798598	14498597	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL, WEST WALL IN THE BATHROOM LOCATED AT APT B23, 2nd STORY, 2nd APARTMENT FROM WEST AT NORTH	617	12-Aug-21
499726	4	34-19	90 STREET	B23	10798598	14498599	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PAINT THAT TESTED POSITIVE FOR LEAD CONTENT AND THAT IS PEELING OR ON A DETERIORATED SUBSURFACE - USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) SOUTH WALL IN THE FOYER LOCATED AT APT B23, 2nd STORY, 2nd APARTMENT FROM WEST AT NORTH	617	12-Aug-21
687226	4	34-15	PARSONS BOULEVARD	7P	10810980	14523416	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) EAST WALL IN THE KITCHEN LOCATED AT APT 7P, 6th STORY, 9th APARTMENT FROM SOUTH AT WEST, SECTION "SOUTH". AT SOUTH	616	20-Aug-21
687226	4	34-15	PARSONS BOULEVARD	7P	10810980	14523417	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL IN THE 2nd ROOM FROM EAST AT NORTH LOCATED AT APT 7P, 6th STORY, 9th APARTMENT FROM SOUTH AT WEST, SECTION "SOUTH". AT SOUTH	616	20-Aug-21
687226	4	34-15	PARSONS BOULEVARD	7P	10810980	14523418	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) NORTH WALL IN THE 1st ROOM FROM EAST AT NORTH LOCATED AT APT 7P, 6th STORY, 9th APARTMENT FROM SOUTH AT WEST, SECTION "SOUTH". AT SOUTH	616	20-Aug-21
687226	4	34-15	PARSONS BOULEVARD	7P	10810980	14523419	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st WINDOW FRAME FROM NORTH AT EAST WALL IN THE 1st BATHROOM FROM EAST LOCATED AT APT 7P, 6th STORY, 9th APARTMENT FROM SOUTH AT WEST, SECTION "SOUTH". AT SOUTH	616	20-Aug-21
417167	4	29-08	31 AVENUE	A9	10812524	14524650	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) CEILING IN THE KITCHEN LOCATED AT APT A9, 1st STORY, 2nd APARTMENT FROM NORTH AT EAST, SECTION "WEST"	616	23-Aug-21

417167	4	29-08	31 AVENUE	A9	10812524	14524651	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st RISER FROM WEST AT NORTH WALL IN THE BATHROOM LOCATED AT APT A9, 1st STORY, 2nd APARTMENT FROM NORTH AT EAST , SECTION "WEST"	616	23-Aug-21
417167	4	29-08	31 AVENUE	A9	10812524	14524652	§ 27-2056.6 ADM CODE - CORRECT THE LEAD-BASED PAINT HAZARD - PRESUMED LEAD PAINT THAT IS PEELING OR ON A DETERIORATED SUBSURFACE USING WORK PRACTICES SET FORTH IN 28 RCNY §11-06(B)(2) 1st DOOR FROM WEST AT NORTH WALL IN THE 4th ROOM FROM NORTH LOCATED AT APT A9, 1st STORY, 2nd APARTMENT FROM NORTH AT EAST . SECTION "WEST"	616	23-Aug-21

Lead- based paint recordkeeping Violations Open at A&E Properties

BUILDING ID	BORO	HOUSE NUMBER	STREET NAME	APT #	COMPLAINT NUMBER	VIOLATION SEQUENCE NUMBER	VIOLATION DESCRIPTION	ORDER BOOK NUMBER	VIOLATION ISSUE DATE
441523	4	41-45	52 STREET	2G	2909168	5683239	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	27-Aug-05
22841	1	34	HILLSIDE AVENUE	6J	3481309	6492349	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	04-Dec-06
469859	4	150-16	73 AVENUE	1H	4198450	7274756	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	05-May-08
805053	1	2156	MADISON AVENUE	MB	4627086	7794186	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	27-Mar-09
948780	4	150-44	73 AVENUE	3B	7038353	10457716	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	25-Nov-14
693432	4	132-70	SANFORD AVENUE	2D	7208310	10584253	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	24-Feb-15
499726	4	34-19	90 STREET	C51	7394071	10691460	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	28-Apr-15
948806	4	153-54	75 AVENUE	2D	7433650	10730797	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	30-May-15
43708	1	631	WEST 207 STREET	21	7693346	11050535	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	28-Dec-15
470517	4	33-51	73 STREET	4G	7835744	11151732	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	11-Mar-16
411574	4	30-49	CRESCENT STREET	A1	7986740	11282613	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	21-Jun-16
109468	2	910	SHERIDAN AVENUE	3B	8568092	11936863	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	20-Aug-17
21804	1	370	FT WASHINGTON AVENUE	112	9266757	12797419	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	08-Dec-18

646534	4	102-43	CORONA AVENUE	L1B	9565652	13065677	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	12-May-19
421477	4	83-16	34 AVENUE	H42	9606396	13126072	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER	618	16-Jun-19
411766	4	38-05	CRESCENT STREET	3H	9648640	13199448	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER IN THE ENTIRE APARTMENT LOCATED AT APT 3H, 3rd STORY	618	30-Jul-19
81991	2	910	GRAND CONCOURSE	5D	9665779	13233891	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER IN THE ENTIRE APARTMENT LOCATED AT APT 5D, 5th STORY	618	18-Aug-19
948748	4	150-45	73 AVENUE	1E	9671587	13256520	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER IN THE ENTIRE APARTMENT LOCATED AT APT 1E, 1st STORY	618	30-Aug-19
810759	4	34-32	91 STREET	D11	9697017	13294590	§ 27-2056.7 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER IN THE ENTIRE APARTMENT LOCATED AT APT D11, 1st STORY	618	23-Sep-19
20926	1	45	EAST 135 STREET	10F	9777018	13486835	§ 27-2056.7 ADM CODE: Correct failure to provide to the department within 45 days of demand all records required to be maintained by owner pursuant to Local Law 1 of 2004 related to lead-based paint notices, inspections and remediation/abatement activities.	618	07-Dec-19
20926	1	45	EAST 135 STREET		9939843	13503692	§ 27-2056.4 ADM CODE- Correct failure to notify occupants and/or to investigate lead-based paint hazards.	619	11-Dec-19
972488	4	147-53	72 DRIVE	3F	9885486	13522259	§ 27-2056.7 ADM CODE: Correct failure to provide to the department within 45 days of demand all records required to be maintained by owner pursuant to Local Law 1 of 2004 related to lead-based paint notices, inspections and remediation/abatement activities.	618	30-Dec-19
972488	4	147-53	72 DRIVE		9973999	13536825	§ 27-2056.4 ADM CODE- Correct failure to notify occupants and/or to investigate lead-based paint hazards.	619	06-Jan-20
7205	1	1	BOGARDUS PLACE	4K	9930273	13573895	§ 27-2056.7 ADM CODE: Correct failure to provide to the department within 45 days of demand all records required to be maintained by owner pursuant to Local Law 1 of 2004 related to lead-based paint notices, inspections and remediation/abatement activities.	618	30-Jan-20
672189	4	37-33	LAWRENCE STREET	C1E	9973335	13614989	§ 27-2056.7 ADM CODE: Correct failure to provide to the department within 45 days of demand all records required to be maintained by owner pursuant to Local Law 1 of 2004 related to lead-based paint notices, inspections and remediation/abatement activities.	618	22-Feb-20
672189	4	37-33	LAWRENCE STREET		10050156	13617520	§ 27-2056.4 ADM CODE- Correct failure to notify occupants and/or to investigate lead-based paint hazards.	619	24-Feb-20
672189	4	37-33	LAWRENCE STREET	B1D	10052242	13620290	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT ... IN THE ENTIRE APARTMENT LOCATED AT APT B1D, 1st STORY	614	24-Feb-20

672189	4	37-33	LAWRENCE STREET	C3F	10060099	13628035	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT ... IN THE ENTIRE APARTMENT LOCATED AT APT C3F, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	614	02-Mar-20
468610	4	150-15	72 DRIVE	1A	9990025	13633943	§ 27-2056.7 ADM CODE: Correct failure to provide to the department within 45 days of demand all records required to be maintained by owner pursuant to Local Law 1 of 2004 related to lead-based paint notices, inspections and remediation/abatement activities.	618	06-Mar-20
672189	4	37-33	LAWRENCE STREET	A2G	10067747	13640959	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT IN THE ENTIRE APARTMENT LOCATED AT APT A2G, 2nd STORY	614	05-Mar-20
468610	4	150-15	72 DRIVE		10147682	13715601	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	06-Jul-20
43708	1	631	WEST 207 STREET		10132928	13742948	§ 27-2056.4(H) AND 27-2056.17 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER PURSUANT TO LOCAL LAW 1 OF 2004 RELATED TO LEAD-BASED PAINT NOTICES, INSPECTIONS AND REMEDIATION/ABATEMENT ACTIVITIES.	620	28-Jul-20
43708	1	631	WEST 207 STREET	11	10187975	13795902	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT IN THE ENTIRE APARTMENT LOCATED AT APT 11, 1st STORY, 1st APARTMENT FROM SOUTH AT WEST	614	01-Sep-20
43708	1	631	WEST 207 STREET	27	10187976	13795903	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT IN THE ENTIRE APARTMENT LOCATED AT APT 27, 2nd STORY, 2nd APARTMENT FROM WEST AT NORTH	614	01-Sep-20
43708	1	631	WEST 207 STREET	31	10187978	13795905	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT IN THE ENTIRE APARTMENT LOCATED AT APT 31, 3rd STORY, 2nd APARTMENT FROM EAST AT SOUTH	614	01-Sep-20
43708	1	631	WEST 207 STREET	45	10187979	13795906	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT IN THE ENTIRE APARTMENT LOCATED AT APT 45, 4th STORY, 1st APARTMENT FROM WEST AT NORTH	614	01-Sep-20
43708	1	631	WEST 207 STREET		10187980	13795908	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	01-Sep-20

810625	4	150-40	73 AVENUE	2G	10263886	13965981	§ 27-2056.7 ADM CODE: CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER PURSUANT TO LOCAL LAW 1 OF 2004 RELATED TO LEAD-BASED PAINT NOTICES, INSPECTIONS AND REMEDIATION/ABATEMENT ACTIVITIES.	618	31-Dec-20
810625	4	150-40	73 AVENUE	3H	10373362	13982000	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT IN THE ENTIRE APARTMENT LOCATED AT APT 3H, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	614	12-Jan-21
810625	4	150-40	73 AVENUE		10373367	13982008	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	12-Jan-21
484621	4	37-40	81 STREET	B14	10396256	14078865	§ 27-2056.7 ADM CODE: CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER PURSUANT TO LOCAL LAW 1 OF 2004 RELATED TO LEAD-BASED PAINT NOTICES, INSPECTIONS AND REMEDIATION/ABATEMENT ACTIVITIES.	618	18-Mar-21
484621	4	37-40	81 STREET	A1	10489958	14083238	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT ... IN THE ENTIRE APARTMENT LOCATED AT APT A1, 1st STORY, 1st APARTMENT FROM SOUTH AT WEST	614	21-Mar-21
484621	4	37-40	81 STREET	A7	10489959	14083239	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT .. IN THE ENTIRE APARTMENT LOCATED AT APT A7, 2nd STORY, 1st APARTMENT FROM NORTH AT EAST	614	21-Mar-21
484621	4	37-40	81 STREET	A8	10489960	14083240	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT .. IN THE ENTIRE APARTMENT LOCATED AT APT A8, 2nd STORY, 1st APARTMENT FROM WEST AT NORTH	614	21-Mar-21
484621	4	37-40	81 STREET	A10	10489961	14083241	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT .. IN THE ENTIRE APARTMENT LOCATED AT APT A10, 3rd STORY, 1st APARTMENT FROM EAST AT SOUTH	614	21-Mar-21
484621	4	37-40	81 STREET	B5	10489963	14083243	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT .. IN THE ENTIRE APARTMENT LOCATED AT APT B5, 2nd STORY, 1st APARTMENT FROM WEST AT NORTH	614	21-Mar-21

484621	4	37-40	81 STREET	B9	10489965	14083245	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT .. IN THE ENTIRE APARTMENT LOCATED AT APT B9, 3rd STORY, 1st APARTMENT FROM WEST AT NORTH	614	21-Mar-21
484621	4	37-40	81 STREET	B16	10489966	14083246	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT .. IN THE ENTIRE APARTMENT LOCATED AT APT B16, 4th STORY, 1st APARTMENT FROM SOUTH AT WEST	614	21-Mar-21
484621	4	37-40	81 STREET		10489967	14083247	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	21-Mar-21
810625	4	150-40	73 AVENUE	1G	10489995	14083400	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT ... IN THE ENTIRE APARTMENT LOCATED AT APT 1G, 1st STORY, 2nd APARTMENT FROM WEST AT NORTH	614	21-Mar-21
484621	4	37-40	81 STREET	A2	10495894	14093739	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT , IN THE ENTIRE APARTMENT LOCATED AT APT A2, 1st STORY, 1st APARTMENT FROM EAST AT SOUTH	614	26-Mar-21
484621	4	37-40	81 STREET	B1	10495895	14093763	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT , IN THE ENTIRE APARTMENT LOCATED AT APT B1, 1st STORY, 1st APARTMENT FROM WEST AT NORTH	614	26-Mar-21
484621	4	37-40	81 STREET	B3	10495896	14093769	§ 27-2056.8 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT , IN THE ENTIRE APARTMENT LOCATED AT APT B3, 1st STORY, 1st APARTMENT FROM SOUTH AT WEST	614	26-Mar-21
21804	1	370	FT WASHINGTON AVENUE		10493149	14334936	§ 27-2056.4(H) AND 27-2056.17 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER PURSUANT TO LOCAL LAW 1 OF 2004 RELATED TO LEAD-BASED PAINT NOTICES, INSPECTIONS AND REMEDIATION/ABATEMENT ACTIVITIES.	620	13-May-21
496758	4	37-37	88 STREET	F3	10606138	14366686	§ 27-2056.7 ADM CODE: CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER PURSUANT TO LOCAL LAW 1 OF 2004 RELATED TO LEAD-BASED PAINT NOTICES, INSPECTIONS AND REMEDIATION/ABATEMENT ACTIVITIES.	618	02-Jun-21

496758	4	37-37	88 STREET		10736559	14374833	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	07-Jun-21
496758	4	37-37	88 STREET	F10	10736557	14375022	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT F10 6th STORY 4th APARTMENT FROM SOUTH AT WEST	623	07-Jun-21
496758	4	37-37	88 STREET	A6	10741045	14387495	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT A6 1st STORY 1st APARTMENT FROM NORTH AT EAST	623	10-Jun-21
496758	4	37-37	88 STREET	A4	10741046	14387499	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT A4 1st STORY 1st APARTMENT FROM EAST AT SOUTH	623	10-Jun-21
496758	4	37-37	88 STREET	E4	10741047	14387504	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT E4 5th STORY 1st APARTMENT FROM EAST AT SOUTH	623	10-Jun-21
496758	4	37-37	88 STREET	D6	10741048	14387508	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT D6 4th STORY 1st APARTMENT FROM NORTH AT EAST	623	10-Jun-21
496758	4	37-37	88 STREET	C2	10741049	14387511	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT C2 3rd STORY 2nd APARTMENT FROM SOUTH AT WEST	623	10-Jun-21
496758	4	37-37	88 STREET	C7	10741050	14387520	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT C7 3rd STORY 1st APARTMENT FROM WEST AT NORTH	623	10-Jun-21
496758	4	37-37	88 STREET	C9	10741051	14387523	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT C9 3rd STORY 5th APARTMENT FROM SOUTH AT WEST	623	10-Jun-21
21804	1	370	FT WASHINGTON AVENUE		10743365	14390928	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	15-Jun-21

972502	4	147-45	75 AVENUE		10706253	14405949	§ 27-2056.4(H) AND 27-2056.17 ADM CODE -CORRECT FAILURE TO PROVIDE TO THE DEPARTMENT WITHIN 45 DAYS OF DEMAND ALL RECORDS REQUIRED TO BE MAINTAINED BY OWNER PURSUANT TO LOCAL LAW 1 OF 2004 RELATED TO LEAD-BASED PAINT NOTICES, INSPECTIONS AND REMEDIATION/ABATEMENT ACTIVITIES.	620	25-Jun-21
21804	1	370	FT WASHINGTON AVENUE	404	10758946	14421129	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 404. 4th STORY. 1st APARTMENT FROM SOUTH AT WEST	623	15-Jun-21
972502	4	147-45	75 AVENUE	1G	10765262	14431822	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 1G. 1st STORY. 1st APARTMENT FROM NORTH AT EAST	623	09-Jul-21
972502	4	147-45	75 AVENUE	1H	10765263	14431823	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 1H. 1st STORY. 1st APARTMENT FROM EAST AT SOUTH	623	09-Jul-21
972502	4	147-45	75 AVENUE		10765264	14431824	§ 27-2056.4 ADM CODE- CORRECT FAILURE TO NOTIFY OCCUPANTS AND/OR TO INVESTIGATE LEAD-BASED PAINT HAZARDS.	619	09-Jul-21
21804	1	370	FT WASHINGTON AVENUE	210	10765764	14432425	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 210. 2nd STORY. 5th APARTMENT FROM NORTH AT EAST	623	10-Jul-21
21804	1	370	FT WASHINGTON AVENUE	309	10765766	14432427	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 309. 3rd STORY. 2nd APARTMENT FROM NORTH AT EAST	623	10-Jul-21
21804	1	370	FT WASHINGTON AVENUE	503	10765767	14432428	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 503. 5th STORY. 3rd APARTMENT FROM SOUTH AT WEST	623	10-Jul-21
21804	1	370	FT WASHINGTON AVENUE	511	10765769	14432430	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 511. 5th STORY. 1st APARTMENT FROM NORTH AT EAST	623	10-Jul-21
21804	1	370	FT WASHINGTON AVENUE	606	10765770	14432431	§ 27-2056.7 AND 27-2056.17 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY SUBMITTING REQUIRED SUPPORTING DOCUMENTATION AND AFFIDAVITS FOR APARTMENT LOCATED AT APT 606. 6th STORY. 1st APARTMENT FROM EAST AT SOUTH	623	10-Jul-21

424632	4	86-01	37 AVENUE	1F	10756839	14485893	§ 27-2056.7, 27-2056.8, 27-2056.9 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY PERFORMING ACTIVITIES NECESSARY TO ABATE PRESUMED LEAD-BASED PAINT FROM REQUIRED WINDOW AND/OR DOOR FRICTION SURFACES FOR APARTMENT AND MAKE FLOORS SMOOTH AND CLEANABLE, PRESUMED LEAD PAINT IN AN APARTMENT WITH A CHILD UNDER SIX LOCATED AT APT 1F, 1st STORY, 1st APARTMENT FROM NORTH AT EAST	621	07-Aug-21
687226	4	34-15	PARSONS BOULEVARD	7P	10810980	14524332	§ 27-2056.7, 27-2056.8, 27-2056.9 ADM CODE -CERTIFY COMPLIANCE WITH LEAD-BASED PAINT HAZARD CONTROL REQUIREMENTS DURING PERIOD OF UNIT VACANCY BY PERFORMING ACTIVITIES NECESSARY TO ABATE PRESUMED LEAD-BASED PAINT FROM REQUIRED WINDOW AND/OR DOOR FRICTION SURFACES FOR APARTMENT AND MAKE FLOORS SMOOTH AND CLEANABLE, PRESUMED LEAD PAINT IN AN APARTMENT WITH A CHILD UNDER SIX LOCATED AT APT 7P, 6th STORY, 9th APARTMENT FROM SOUTH AT WEST SECTION "SOUTH" AT SOUTH	621	27-Aug-21

EXHIBIT D



THE CITY OF NEW YORK
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT
OFFICE OF ENFORCEMENT AND NEIGHBORHOOD SERVICES
DIVISION OF CODE ENFORCEMENT

DATE

NAME
STREET ADDRESS
CITY, STATE ZIP

RECORD PRODUCTION ORDER

Dear Managing Agent/Owner:

You are ordered to provide the Department of Housing Preservation and Development (HPD), on or before **DATE** , with copies of records required to be maintained by the New York City Childhood Lead Poisoning Prevention Act of 2003 (Local Law 1 of 2004, as amended) and Chapter 11 of Title 28 of the Rules of the City of New York (28 RCNY Chapter 11) from **2011 TO PRESENT** for **ADDRESS (Reg Id: XXXXX)**.

This Record Production Order is in response to a **DEPARTMENT OF HEALTH AND MENTAL HYGIENE COMMISSIONER’S ORDER TO ABATE (COTA) or RECORD AUDIT**.

You must complete and return **ANY AFFIDAVITS AND COPIES OF SUPPORTING RECORDS** you are providing. Keep a copy of this form and any affidavits and records that you submit.

Records should be delivered in person or mailed with proof of delivery retained to:

Department of Housing Preservation and Development
94 Old Broadway, 7th Floor
New York, NY 10027

Attn: COTA/Record Audit Unit

Questions about this Record Production Order can be directed to HPD’s COTA/Record Audit Unit at (212) 863-5806.

Failure to comply with this Record Production Order will result in the issuance of violations and subject you to civil penalties under Administrative Code § 27-2056.4 of up to \$1,500 and penalties from \$1,000 to \$5,000 for not complying with § 27-2056.17, and up to \$1,000 for not complying with § 27-2056.7. You may also be subject to civil penalties for failure to perform required work to repair lead-based paint hazards.

Attention New Owners

If you have acquired the building within the required audit period, and the documentation required to be kept was not provided to you by the previous owner, you must provide the required documentation for the actual year(s) of your ownership and an additional affidavit accompanied by a copy of the deed of ownership. If this applies to you, return the completed and notarized Affidavit of Records from Previous Owner (enclosed with this order).

Instructions

For each of the below sections, a description of what documentation must be provided for **each year of the audit period** is indicated. Unless otherwise noted, all documents are required in each category.

Please Note: As of January 1, 2020, the term “reside” now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit.

Section 1: Audit of Annual Notice Distribution and Investigation

1.1 Proof of delivery of the Annual Notice to the occupant of each dwelling unit

- a. The completed and notarized **Affidavit of Delivery of Annual Notice** (enclosed with this order) with a sample copy of the annual notice that was delivered.
- b. A complete list with the building address, each dwelling unit number and the date of delivery to each dwelling unit or the date of the email notice sent to each dwelling unit.

ITEM “C” BELOW IS REQUIRED ONLY IF any of the dwelling units are exempt from the presumption of lead-based paint established in Administrative Code §27-2056.5(a) due to the provisions identified in the paragraph below:

- c. A complete list of any dwelling units in the building for which there is a lead exemption obtained from HPD for the dwelling unit that is in effect during the audit period; and a complete list of owner/shareholder-occupied cooperative or condominium dwelling units during the audit period, where the owner was not required to provide Annual Notices to such owner/shareholder. Any such lists must be signed by the owner.

1.2 Annual Notice response received from the occupant of each dwelling unit

- a. A list of the dwelling units with an indication of whether the dwelling unit responded and the response, including whether there is a child under six residing in the unit based on either the occupant’s verbal or written response or the owner’s inspection/knowledge.
- b. Copies of the completed and returned Annual Notice, where received. Documents must have the building address, dwelling unit number, and occupant’s name, signature and date.

ITEM “C” BELOW IS REQUIRED ONLY IF any dwelling units did not respond to the Annual Notice:

- c. The date when access was attempted to confirm the residence of a child or an indication that the owner had knowledge of a dwelling unit with a child under six; proof of providing written notice by certified or registered mail or by first class mail with proof of mailing of the need to access the unit; and a copy of the notice sent by the owner to the Department of Health and Mental Hygiene regarding failure to access any particular dwelling unit.

As of January 1, 2020, the term “reside” now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit.

1.3 Annual investigation reports conducted pursuant to responses by occupants to Annual Notices

- a. Completed and notarized **Affidavit of Annual Investigation for Lead-Based Paint Hazards** (enclosed with this order).
- b. Copies of the inspection reports for dwelling units that were inspected, including a statement whether there was or was not peeling paint on all visually inspected components or similar documentation.

ITEMS “C” AND “D” BELOW ARE REQUIRED ONLY IF access was not gained to a dwelling unit for the investigation:

- c. Completed and notarized **Affidavit of No Access to Perform Annual Investigation for Lead-Based Paint Hazards** (enclosed with this order).

- d. Copies of the written notice given to the occupant informing the occupant of the need to access the unit or similar documentation and a record regarding access attempts and the reasons for failure of access.

Section 2: Audit of Work Performed to Correct Lead-Based Paint Hazard Violations

2.1 For currently open and uncertified violations in the audit period

- If you require assistance identifying whether there are currently open and uncertified violations in the audit period, contact HPD's COTA/Record Audit Unit at (212) 863-5806.
- If you have no currently open and uncertified lead-based paint hazard violations from HPD for the audit period, nothing is required to be provided for in Section 2.

Owner must provide **ALL** the following for **each currently open and uncertified lead-based paint hazard violation**.

- a. Completed and notarized Affidavit AF-5. (This document is available at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- b. An Affidavit from the EPA-certified abatement firm's authorized agent or individual who performed the work to correct the lead-based paint hazard violation(s) stating that the work was performed in accordance with §27-2056.11 of Article 14 of the Housing Maintenance Code and 28 RCNY §11-06; The start and completion date of the work; The address and contact information (phone or fax) for the EPA firm that completed the work (a sample document can be found at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- c. A copy of the EPA certification for the abatement firm that performed the work to correct the lead-based paint hazard violation(s).
- d. A copy of the State-certified laboratory analysis of all surface dust samples taken which indicates the method of preparation and analysis of the samples.
- e. An Affidavit from the individual who took the surface dust sample, verifying the date the sample was taken and indicating the address/dwelling unit where the sample was taken (a sample document can be found at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- f. A copy of the Certificate of Training of the individual who took surface dust samples. The Certificate of Training must be valid for the period when the dust samples were taken.

Section 3: Audit of Non-Violation Work that Disturbed Lead Based Paint or Paint of Unknown Lead Content

3.1 Records for all non-violation work that disturbed lead-based paint or paint of unknown lead content on a surface greater than two square feet per room in a dwelling unit where a child under six years of age resides, or in the common areas of the building, including documentation of the work practices used.

As of January 1, 2020, the term "reside" now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit.

ITEM "A" BELOW IS REQUIRED ONLY IF no non-violation work on painted surfaces in apartments with children under six at the time of the repair was completed during the audit period:

- a. Completed and notarized **Affidavit for No Work that Disturbed Lead-Based Paint or Paint of Unknown Lead Content (Non-Violation)** (enclosed with this order).

OR IF SUCH WORK WAS PERFORMED:

Owner must provide a list of where work was performed and provide **ALL** of the following for each instance of work.

- b. An Affidavit from the EPA-certified abatement or EPA-certified Renovation firm's authorized agent or individual who performed the work to correct the lead-based paint hazard stating that the work was performed in accordance with §27-2056.11 of Article 14 of the Housing Maintenance Code and 28 RCNY §11-06; The start and completion date of the work; The address and contact information (phone or fax) for the EPA firm that completed the work (a sample document can be found at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- c. A copy of the EPA certification for the firm that performed the work.
- d. A copy of EPA certifications of the EPA-certified Renovators or Abatement Workers and Supervisors who performed the work.
- e. The location of the work performed in each room including a description of such work OR invoices for payment for such work.
- f. A copy of the State-certified laboratory analysis of all surface dust samples taken which indicates the method of preparation and analysis of the samples.
- g. An Affidavit from the individual who took the surface dust samples, verifying the date the sample was taken and indicating the address/dwelling unit where the sample was taken (a sample document can be found at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- h. A copy of the Certificate of Training of the individual who took surface dust samples. The Certificate of Training must be valid for the period when the dust samples were taken.

ITEM "I" BELOW IS REQUIRED ONLY IF an occupant is not relocated from the dwelling and the work continues past one day:

- i. Checklists completed when/if occupants were allowed temporary access to a work area at the end of the day after work has ceased for the day.

ITEMS "J" AND "K" BELOW ARE REQUIRED ONLY IF the work that was performed disturbed more than 100 square feet of lead-based paint or paint of unknown lead content in a room in a dwelling unit where a child under age six resides, or involved the removal of two or more windows in such unit:

- j. A copy of the owner's completed and signed notice of commencement of work that was filed with the Department of Health and Mental Hygiene.
- k. Any changes in the information contained in the notice filed with the Department of Health and Mental Hygiene prior to commencement of work, or if work has already commenced, within 24 hours of any such change.

Please Note: As of January 1, 2020, the term "reside" now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit.

Section 4: Audit of Work Performed at Turnover of any Dwelling Unit

REQUIRED FOR ALL:

- a. The completed and notarized **Affidavit for Turnover of Any Dwelling Unit** (enclosed with this order) listing any dwelling units where a tenant has vacated a dwelling unit and the dwelling unit has been re-occupied by a new tenant during the audit period.

ITEM “B” BELOW IS REQUIRED ONLY IF no work was necessary to comply with the requirements for turnover of the dwelling unit:

- b. The completed and notarized **Affidavit of No Turnover Work Necessary** (enclosed with this order).

ITEMS “C” THROUGH “I” BELOW ARE REQUIRED ONLY IF work was completed to comply with the requirements for turnover of the dwelling unit including remediating lead-based paint hazards or presumed lead-based paint hazards; removing lead-based paint on friction surfaces on all doors and door frames; removing lead-based paint on all friction surfaces of windows or providing for the installation of Replacement window channels or sliders; and making all bare floors, window sills, and window wells smooth and cleanable:

- c. An Affidavit from the EPA-certified abatement firm or Renovation firm’s authorized agent or individual who performed the work stating that the work was performed in accordance with §27-2056.11(a)(3) of Article 14 of the Housing Maintenance Code and 28 RCNY §11-06; The start and completion date of the work; The address and contact information (phone or fax) for the EPA firm that completed the work (a sample document can be found at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- d. A copy of the EPA certification for the abatement firm where applicable, or Renovation firm that performed the work.
- e. A copy of EPA certifications of the EPA-certified Abatement Workers and Supervisors, where applicable, or Renovators who performed the work.
- f. The location of the work performed in each room, including a description of such work and components of parts of the dwelling unit that were replaced OR invoices for payment for such work.
- g. A copy of the State-certified laboratory analysis of all surface dust samples taken which indicates the method of preparation and analysis of the samples.
- h. An Affidavit from the individual who took the surface dust samples, verifying the date the sample was taken and indicating the address/dwelling unit where the sample was taken (a sample document can be found at <https://www1.nyc.gov/site/hpd/owners/Lead-Based-Paint.page>).
- i. A copy of the Certificate of Training of the individual who took surface dust samples. The Certificate of Training must be valid for the period when the dust samples were taken.

Section 5: Audit of 5-Year Testing Requirement.

Local Law 31 of 2020 requires property owners to have non-owner-occupied multiple dwelling units in buildings built prior to January 1, 1960 tested for lead-based paint using certified contractors by August 9, 2025. However, if a child under the age of six comes to reside in the unit after August 9, 2020, the testing must be completed within one year of the occupancy (or by the due date, whichever comes sooner). A child under six resides” in the dwelling unit if the child either lives in the unit or if the child routinely spends 10 or more hours per week in such a dwelling unit. An owner should be obtaining this information about a child on an annual basis at least, as part of the Lead-Based Paint Annual Notice Process.

This testing must be performed by a person who (i) is not the owner or the agent of the owner or any contractor hired to perform work related to the remediation of lead-based paint hazards, and (ii) is certified as an inspector or risk assessor pursuant to section 745.226 of title 40 of the code of federal regulations.

REQUIRED FOR ALL:

- 5.1 Affidavit of Compliance with Lead-Based Paint Testing (enclosed with this order)
- 5.2 Copies of any lead inspection reports done by an EPA certified inspector or risk assessor which includes surfaces or components which tested positive and negative for lead-based paint.
- 5.3 If providing 5.2 above, a copy of the EPA certification for the certified inspector or risk assessor who performed the inspection and prepared the inspection report and, if tested after August 9, 2020, an Affidavit completed by that inspector or risk assessor (enclosed with this order).

AFFIDAVIT OF LEAD-BASED PAINT RECORDS FROM PREVIOUS OWNER

I, _____ (**print name**), swear or affirm under penalty of perjury as follows:

I am the owner/managing agent for the building located at: _____
(**address**) ("Premises").

That I/Corporation _____ (**print name**), purchased the Premises on
_____ (**date**). I have attached a copy of the deed for the Premises.

That although the Premises is subject to compliance with the New York City Childhood Lead Poisoning Prevention Act of 2003 (Local Law 1 of 2004, as amended) which requires that certain records be kept by owners for at least ten years under Administrative Code Sections 27-2056.4 and 27-2056.17 and that such records be transferred to new owners, I have:

Must Select **ONE**:

not received any records related to compliance with the Lead Poisoning Prevention Act from the previous owner.

OR

received only the attached records related to the compliance with the Lead Poisoning Prevention Act from the previous owner for the period covered by the start of this audit through to the date of my purchase of the Premises. These records are for (select all that apply):

- Proof of the delivery of the Annual Notice
- Non-violation work that disturbed lead-based paint or paint of unknown lead content
- Annual Notice response received
- Work performed at turnover
- Annual investigations conducted

(Print Name)

(Signature)

State of New York, County of _____

Stamp

Sworn to before me this _____ day of _____, 20_____

(Notary Signature)

**AFFIDAVIT OF DELIVERY OF ANNUAL NOTICE –
MAILING/EMAILING/HAND-DELIVERY**

I, _____ (**print name**), swear or affirm under penalty of perjury as follows:

The delivery of the Annual Notice for Prevention of Lead-based Paint Hazards Inquiry Regarding Child, that is required under Administrative Code Section 27-2056.4 (“Annual Notice”) to each dwelling unit in the building located at _____ (“Premises”) was completed during the audit period by:

Select all that apply:

- Myself, the owner/managing agent
- My employee under my direction
- A third party hired by me or my employee under my direction

The procedure during the audit period to deliver the Annual Notice to each dwelling unit in the building located at the “Premises” was (**must select one**) by email or by mail or by hand-delivery to the occupant of the dwelling unit, or by a combination of email, mail, and hand delivery.

In support of this Affidavit, I am attaching any of the following records which I have in my possession for each year of the audit period:

- A sample copy of the Annual Notice in English and Spanish that was mailed/mailed/hand-delivered to the occupants of the building;
- A complete list with the building address, each dwelling unit number, the date of delivery to each dwelling unit or the date of the email notice sent to each dwelling unit, and the name of the individual who performed the delivery to each dwelling unit; and
- If the delivery was completed by a third party: the company’s name and address.

(Print Name)

(Signature)

State of New York, County of _____

Stamp

Sworn to before me this _____ day of _____, 20_____

(Notary Signature)

AFFIDAVIT OF ANNUAL INVESTIGATION FOR LEAD-BASED PAINT HAZARDS

I, _____ (**print name**), swear or affirm under penalty of perjury as follows: I am the owner/managing agent for the building located at: _____
_____ (**address**) (“Premises”).

I or my employee, or an individual hired by me for this purpose, conducted a visual inspection for lead-based paint hazards in dwelling units where a child under the age of six resides and the common areas of the building where a child under the age of six resides that are required to be inspected annually under Administrative Code section 27-2056.4.

I understand that effective January 2020, the NYC Childhood Lead Poisoning Prevention Act (Local Law 1 of 2004) was amended so that the word “resides” now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit. In either case, the child is considered to "reside" in the unit for purposes of compliance with the law.

In support of this Affidavit, I am attaching any of the records which I have in my possession for each year of the audit period that reflect:

- The dwelling units in which an inspection was conducted, including the name of the person who performed the visual inspection, the date of the inspection, and the dwelling unit number and a copy of the inspection for each unit.

(PrintName)

(Signature)

State of New York, County of _____

Stamp

Sworn to before me this _____ day of _____, 20 _____

(Notary Signature)

**AFFIDAVIT OF NO ACCESS TO PERFORM ANNUAL INVESTIGATION
FOR LEAD-BASED PAINT HAZARDS**

I, _____ (print name), swear or affirm under penalty of perjury as follows:

I am the owner/managing agent for the building located at: _____
(address) ("Premises").

I or my employee, or an individual hired by me for this purpose, attempted to conduct a visual inspection for lead-based paint hazards in dwelling units where a child under the age of six resides that are required to be inspected annually under Administrative Code section 27-2056.4.

I understand that effective January 2020, the NYC Childhood Lead Poisoning Prevention Act (Local Law 1 of 2004) was amended so that the word "resides" now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit. In either case, the child is considered to "reside" in the unit for purposes of compliance with the law.

A visual inspection was not completed in certain dwelling units due to failure to gain access for the inspection, despite attempts to gain access and written notification to the occupant of the dwelling unit regarding the need for access for inspection.

In support of this Affidavit, I am attaching any of the records which I have in my possession for each year of the audit period that reflect:

- The dwelling units in which a visual inspection may have been required but was not conducted, and for each such unit:
 - Copies of the written notice provided by certified, registered or first-class mail to the occupant of such units regarding the need to access the unit for inspection, including the date of mailing with proof of mailing, or similar documentation;
 - The dates an attempt was made to gain access; and
 - The reason(s) that the inspection was not conducted (for example, no response to the annual notice regarding lead-based paint hazards, refusal to allow access, or no response to owner's notification of need for access for inspection).

(PrintName)

(Signature)

State of New York, County of _____

Stamp

Sworn to before me this _____ day of _____, 20_____

(Notary Signature)

AFFIDAVIT FOR NO WORK THAT DISTURBED LEAD-BASED PAINT OR PAINT OF UNKNOWN LEAD CONTENT (NON-VIOLATION)

I, _____ (print name), swear or affirm under penalty of perjury as follows:

I am the owner/managing agent for the building located at: _____ (address) (“Premises”) and I have not performed or caused to be performed by an employee or contractor any work that disturbed more than two square feet of any lead-based paint or paint of unknown lead content in any room of an apartment where a child under six years of age resided at the time at the Premises during the audit period.

I understand that effective January 2020, the NYC Childhood Lead Poisoning Prevention Act (Local Law 1 of 2004) was amended so that the word “resides” now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit. In either case, the child is considered to “reside” in the unit for purposes of compliance with the law.

I have listed each unit below where work was performed during the audit period when I was the owner/managing agent, and I have indicated the basis for stating the work did not disturb lead paint:

Unit Number	Choose the reason below (X).			
	Unit has HPD lead free paint exemption.	Unit was tested for lead-based paint by an EPA-certified Inspector or Risk Assessor and no painted surface tested positive for lead-based paint.*	No child under 6 years of age resided in the unit at the time.	The work performed did not disturb more than two square feet of any lead paint or paint of unknown lead content in a room.

*If the dwelling unit was tested for lead-based paint and tested negative for lead-based paint, I am attaching the following required documentation:

- A copy of the inspection report prepared by an EPA-certified Inspector or Risk Assessor.
- A copy of the EPA certification of the Inspector or Risk Assessor valid for the inspection date.
- A notarized Affidavit by the Inspector or Risk Assessor who conducted the inspection. (Affidavit enclosed with this order).

Please add additional copies of this Affidavit if additional space is needed to list all the units.

(Print Name) (Signature)

State of New York, County of _____ Stamp

Sworn to before me this _____ day of _____, 20_____

(Notary Signature)

AFFIDAVIT FOR TURNOVER OF ANY DWELLING UNIT

I, _____ (**print name**), swear or affirm under penalty of perjury as follows:

I am the owner/managing agent for the building located at: _____
(address) (“Premises”) and that:

Must Select **ONE**:

No dwelling unit was vacated and re-occupied by a new tenant (turnover) during the audit period when I was the owner/managing agent.

OR

The following dwelling units were vacated and re-occupied by a new tenant (turnover) during the audit period when I was the owner/ managing agent.

Unit Number	Date the previous tenant ended occupancy	Date the new tenant started occupancy

Please add additional copies of this Affidavit if additional space is needed to list all the units.

For any unit that did turn over, the owner/managing agent must also submit all records listed in Section 4 “c” through “i” of the Record Production Order to demonstrate compliance with the work requirements.

For any unit that did turn over but for which turnover work was not required, the owner/managing agent must complete and submit the **Affidavit of No Turnover Work Necessary**.

 (Print Name)

 (Signature)

State of New York, County of _____

Stamp

Sworn to before me this _____ day of _____, 20_____

 (Notary Signature)

AFFIDAVIT OF NO TURNOVER WORK NECESSARY

I, _____ (print name), swear or affirm under penalty of perjury as follows:

I am the owner/managing agent for the building located at: _____ (address) (“Premises”) and that the following dwelling units were vacated and re-occupied by a new tenant (turnover) during the audit period when I was the owner/managing agent but did not require turnover work pursuant to Administrative Code Section 27-2056.8.

I have listed each unit below and indicated the basis for stating the unit did not require turnover work:

Unit Number	Choose the reason below (X).			
	Unit has HPD Lead Free paint exemption.	Unit has no painted window and door friction surfaces, the floor, window sills and window wells were smooth and cleanable, and there were no lead-based paint hazards or underlying defects to correct.	Unit window and door friction surfaces have tested negative for lead-based paint*, the floor, window sills and window wells were smooth and cleanable, and there were no lead-based paint hazards or underlying defects to correct.	Unit was tested for lead-based paint by an EPA-certified Inspector or Risk Assessor and no painted surfaces tested positive for lead-based paint.*

*If the dwelling unit was tested for lead-based paint and either the window and door friction surfaces were negative for lead-based paint (column 3, above) or no painted surfaces tested positive for lead-based paint (column 4, above), I am attaching the following required documentation:

- A copy of the inspection report prepared by an EPA-certified Inspector or Risk Assessor.
- A copy of the EPA certification of the Inspector or Risk Assessor valid for the inspection date.
- A notarized Affidavit by the Inspector or Risk Assessor who conducted the inspection, (Affidavit enclosed with this order).

Please add additional copies of this Affidavit if additional space is needed to list all the units.

(Print Name) (Signature)

State of New York, County of _____ Stamp

Sworn to before me this _____ day of _____, 20_____

(Notary Signature)

AFFIDAVIT OF COMPLIANCE WITH LEAD-BASED PAINT XRF TESTING

I, _____ (print name), swear or affirm under penalty of perjury as follows:

I am the owner/managing agent for the building located at: _____ (address) ("Premises") and I am making the following affirmations and submitting documentation regarding compliance with the lead-based paint testing requirements of Administrative Code section 27-2056.4 a-1:

Part A Select **ONE**:

No child under the age of six years old has come to live in or spend 10 or more hours per week in a dwelling unit ("reside") at the Premises since August 9, 2020.

OR

Yes, a child under the age of six years old has come to live in or spend 10 or more hours per week in a dwelling unit ("reside") at the Premises since August 9, 2020 and I am attaching a document with the following information:

Unit Number	Date the child came to reside	Was the unit tested for lead-based paint? (Yes or No)	Date of the Testing
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Part B For any dwelling unit in the building other than those that are listed/attached in **Part A** above, I understand all tenant-occupied rental dwelling units must be XRF tested no later than August 9, 2025 and I am affirming:

Select **ONE**:

No other dwelling units have been XRF tested for lead-based paint at the Premises as of this date.

OR

Other dwelling units have been XRF tested at the Premises and I am attaching a document with the following information about the tested units:

Unit Number	Date of the Testing
-------------	---------------------

Part C For any dwelling unit listed in either Part A or Part B where XRF testing was performed, I am also submitting the following records to demonstrate compliance with the testing requirements:

- Copies of any lead inspection reports, which includes surfaces which tested positive and negative for lead-based paint.
- A copy of the EPA certification for each certified inspector(s) or risk assessor(s) who performed the inspection(s).
- If tested after August 9, 2020, a notarized Affidavit by the Inspector or Risk Assessor who conducted the inspection (Affidavit enclosed with this order).

(Print Name) _____
(Signature)

State of New York, County of _____ *Stamp*

Sworn to before me this _____ day of _____, 20_____

(Notary Signature)

**AFFIDAVIT BY CERTIFIED INDIVIDUAL
WHO PERFORMED LEAD-BASED PAINT TESTING**
(Submit only where required by this Record Production Order)

I, _____ (print name), performed the inspection and testing and/or sampling for lead-based paint at the premises located at _____ (street), _____ (city), _____ (state), _____ (zip), _____ (unit number, if applicable) on _____ (date).

I am certified to perform such inspections and testing and/or sampling under Part 745 of Title 40 of the Code of Federal Regulations subparts L and Q. I performed the inspection, and testing and/or sampling in accordance Title 40 CFR § 745.227, and Chapter 7 of the U.S. Department of Housing and Urban Development's Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing, 2nd Edition (July 2012).

I am (check one):

_____ Self employed
_____ Employed by an EPA Certified Firm (name): _____
and have attached a copy of that firm's EPA certification.

In addition, I have attached a copy of my EPA certification to this affidavit. My EPA certification number is _____ and it expires on _____.

(Print Name) (Signature)

State of New York, County of _____ *Notary Stamp*

Sworn to before me this _____ day of _____, 20_____

(Notary Print Name) (Notary Signature)

