



NEW YORK STATE DEPARTMENT OF LAW
Real Estate Finance Bureau
28 Liberty Street
New York, NY 10005
212-416-8122
1-800-788-9898 TDD (for hearing impaired)
www.ag.ny.gov

Real Estate Finance Fee Schedule

REAL ESTATE FINANCE FEES

Effective November 3, 2017, the schedule of fees for filings and registrations with the Real Estate Finance is as follows:

Cooperative/Condominium/HOA/ Timeshare Offering Plans and Syndication registration (Full Filing)	4/10 of 1% of total offering price with \$750 minimum for a total offering price under \$250,000 and \$30,000 maximum fee for a total offering price of \$7,500,000 or greater.
352-g Exemptions (P.S. 100, 101, 102)	2/10 of 1% of total offering price with \$750 minimum for a total offering price under \$250,000 and \$30,000 maximum fee for a total offering price of \$15,000,000 or greater.
Syndication Exemptions pursuant to GBL §359-f(2), (P.S. 103, P.S. 104)	\$300
Amendments	\$225
Cooperative Policy Statements #1, #3, #5, #6, #11	\$225
Cooperative Policy Statement #7, #12	\$750
Issuer Statement (Form M-11) (Total offering: In excess of \$500,000 For \$500,000 or less	\$1,200 for 4 years \$300 for 4 years
Broker-Dealer Registration (Form M-10)	\$300 plus \$15 per signatory for 4 years
Salesperson Statement (Form M-2)	\$150 for 4 years
Supplemental Broker-Dealer Statement (Form M-3)	\$30
Supplemental Salesperson Statement (Form M-4)	\$30
No Action/No Filing Applications	\$225
Syndication Notification Filing (Form 99) (Only For Covered Securities) (Total offering: In excess of \$500,000 For \$500,000 or less	\$1,950 \$1,050
Intrastate Securities Offerings pursuant to GBL §359-ff	1/2 of 1% of total offering Price, with \$25 minimum fee and \$1,500 maximum fee